



## Improvement of hierarchical matrices for 3D elastodynamic problems with a complex wavenumber

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### Abstract

It is well known in the literature that standard hierarchical matrix ( $\mathcal{H}$ -matrix)-based methods, although very efficient for asymptotically smooth kernels, are not optimal for oscillatory kernels. In a previous paper, we have shown that the method should nevertheless be used in the mechanical engineering community due to its still important data compression rate and its straightforward implementation compared to  $\mathcal{H}^2$ -matrix, or directional, approaches. Since in practice, not all materials are purely elastic, it is important to be able to consider visco-elastic cases. In this context, we study the effect of the introduction of a complex wavenumber on the accuracy and efficiency of  $\mathcal{H}$ -matrix-based fast methods for solving dense linear systems arising from the discretization of the elastodynamic (and Helmholtz) Green's tensors. Interestingly, such configurations are also encountered in the context of the solution of transient purely elastic problems with the convolution quadrature method. Relying on the theory proposed in Börm et al. (*IMA Journal of Numerical Analysis* 12, 2020) for  $\mathcal{H}^2$ -matrices for Helmholtz problems, we study the influence of the introduction of damping on the data compression rate of standard  $\mathcal{H}$ -matrices. We propose an improvement of  $\mathcal{H}$ -matrix-based fast methods for this kind of configuration and illustrate how the introduction of a complex wavenumber can, as expected, improve further the efficiency of such methods. This work is complementary to the recent report (Börm et al., *IMA Journal of Numerical Analysis* 12, 2020). Here, in addition to addressing another physical problem, we consider standard  $\mathcal{H}$ -matrices, derive a simple criterion to introduce additional compression and we perform extensive numerical experiments.

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 29 element method · (Visco)-Elastodynamics

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31 **1 Introduction**

32 The 3D linear isotropic elastodynamic equation for the displacement field  $\mathbf{u}$  (also  
 33 called Navier equation) is given by

$$\operatorname{div} \sigma(\mathbf{u}) + \rho \omega^2 \mathbf{u} = 0 \tag{1}$$

34 where  $\omega > 0$  is the circular frequency. It is supplemented with appropriate boundary  
 35 conditions which contain the data. The stress and strain tensors are respectively given  
 36 by  $\sigma(\mathbf{u}) = \lambda(\operatorname{div} \mathbf{u})\mathbf{I}_3 + 2\mu\varepsilon(\mathbf{u})$  and  $\varepsilon(\mathbf{u}) = \frac{1}{2}([\nabla \mathbf{u}] + [\nabla \mathbf{u}]^\top)$ , where  $\mathbf{I}_3$  is the 3-by-  
 37 3 identity matrix and  $[\nabla \mathbf{u}]$  is the 3-by-3 matrix whose  $\beta$ -th column is the gradient of  
 38 the  $\beta$ -th component of  $\mathbf{u}$  for  $1 \leq \beta \leq 3$ ,  $\mu$  and  $\lambda$  are the Lamé parameters and  $\rho$  is  
 39 the density. Denoting  $k_p^2 = \rho \omega^2 (\lambda + 2\mu)^{-1}$  and  $k_s^2 = \rho \omega^2 \mu^{-1}$  the so-called P and  
 40 S wavenumbers, the Green's tensor of the Navier equation is a 3-by-3 matrix-valued  
 41 function expressed by

$$\mathbf{U}_\omega(\mathbf{x}, \mathbf{y}) = \frac{1}{\rho \omega^2} \left( \operatorname{curl} \operatorname{curl}_x \left[ \frac{e^{ik_s|\mathbf{x}-\mathbf{y}|}}{4\pi|\mathbf{x}-\mathbf{y}|} \mathbf{I}_3 \right] - \nabla_x \operatorname{div}_x \left[ \frac{e^{ik_p|\mathbf{x}-\mathbf{y}|}}{4\pi|\mathbf{x}-\mathbf{y}|} \mathbf{I}_3 \right] \right) \tag{2}$$

42 where the index  $\mathbf{x}$  means that differentiation is carried out with respect to  $\mathbf{x}$  and  
 43  $\operatorname{div}_x \mathbb{A}$  corresponds to the application of the divergence along each row of  $\mathbb{A}$ . One  
 44 may use this tensor to represent the solution of (1). Alternately, one may use the  
 45 tensor  $\mathbf{T}_\omega(\mathbf{x}, \mathbf{y})$ , which is obtained by applying the traction operator

$$\mathbf{T} = 2\mu \frac{\partial}{\partial \mathbf{n}} + \lambda \mathbf{n} \operatorname{div} + \mu \mathbf{n} \times \operatorname{curl} \tag{3}$$

46 to each column of  $\mathbf{U}_\omega(\mathbf{x}, \mathbf{y})$ :  $\mathbf{T}_\omega(\mathbf{x}, \mathbf{y}) = [\mathbf{T}_y \mathbf{U}_\omega(\mathbf{x}, \mathbf{y})]$  where the index  $\mathbf{y}$  means  
 47 that differentiation is carried out with respect to  $\mathbf{y}$ .

48 We consider the fast solution of dense linear systems of the form

$$\mathbb{A} \mathbf{p} = \mathbf{b}, \quad \mathbb{A} \in \mathbb{C}^{3N_c \times 3N_c} \tag{4}$$

49 where  $\mathbb{A}$  is the matrix corresponding to the discretization of the 3-by-3 Green's  
 50 tensors  $\mathbf{U}_\omega(\mathbf{x}_i, \mathbf{y}_j)$  or  $\mathbf{T}_\omega(\mathbf{x}_i, \mathbf{y}_j)$  for two clouds of  $N_c$  points  $(\mathbf{x}_i)_{1 \leq i \leq N_c}$  and  
 51  $(\mathbf{y}_j)_{1 \leq j \leq N_c}$ . Here  $\mathbf{p}$  is the unknown vector approximating the solution at  $(\mathbf{x}_i)_{1 \leq i \leq N_c}$   
 52 and  $\mathbf{b}$  is a given right hand side that depends on the data. Such dense systems are  
 53 encountered for example in the context of the Boundary Element Method (with  
 54 a straightforward derivation for piecewise constant interpolation of the unknown  
 55 field) [5, 33].

56 If no compression or acceleration technique is used, the storage of such a system is  
 57 of the order  $O(N_c^2)$ , the iterative solution (e.g., with GMRES) is  $O(N_{\text{iter}} N_c^2)$  where  
 58  $N_{\text{iter}}$  is the number of iterations, while the direct solution (e.g., via LU factorizations)  
 59 is  $O(N_c^3)$ . In the last decades, different approaches have been proposed to speed up

the solution of dense systems. The most well-known method is probably the fast multipole method (FMM) proposed by Greengard and Rokhlin [21] which enables a fast evaluation of the matrix-vector products. We recall that the matrix-vector product is the crucial tool in the context of an iterative solution. Initially developed for N-body simulations, the FMM has then been extended to oscillatory kernels [16, 20]. The method is now widely used in many application fields and has shown its capabilities in the context of mechanical engineering problems solved with the BEM [13, 25, 37].

An alternative approach designed for dense systems is based on the concept of hierarchical matrices ( $\mathcal{H}$ -matrices) [2]. The principle of  $\mathcal{H}$ -matrices is to partition the initial dense linear system, and then approximate it into a data-sparse one, by finding sub-blocks in the matrix that can be accurately estimated by low-rank matrices. In other terms, one further approximates the matrix  $\mathbb{A}$  from (4). The efficiency of hierarchical matrices relies on the possibility to approximate, under certain conditions, the underlying kernel function by low-rank matrices. The approach has been shown to be very efficient for asymptotically smooth kernels (e.g., Laplace kernel). On the other hand, oscillatory kernels such as the Helmholtz or elastodynamic kernels, are not asymptotically smooth. In these cases, the method is not optimal [1]. To avoid the increase of the rank for high-frequency problems,  $\mathcal{H}^2$ -matrix, or directional, methods have been proposed [6, 7].  $\mathcal{H}^2$ -matrices are a specialization of hierarchical matrices. It is a multigrid-like version of  $\mathcal{H}$ -matrices that enables more compression, by factorizing some basis functions of the approximate separable expansion.

Since the implementation of  $\mathcal{H}^2$ -matrix methods is much more involved than the one of the standard  $\mathcal{H}$ -matrix, in [14] we have studied the frequency-range within which the  $\mathcal{H}$ -matrices are efficient for elastodynamic problems and what can be expected of such an approach to solve problems encountered in mechanical engineering. We have shown that even though the method is not optimal (in the sense that more efficient approaches can be proposed at the cost of a much more complex implementation effort), an efficient solver is easily developed. The capabilities of the method have been illustrated on numerical examples using the Boundary Element Method.

In practice, not all materials are purely elastic and it is thus important to be able to consider visco-elastic cases. In this context, we study the effect of the introduction of a complex wavenumber on the accuracy and efficiency of hierarchical matrix ( $\mathcal{H}$ -matrix)-based fast methods for solving dense linear systems arising from the discretization of the elastodynamic Green's tensors. Interestingly, such configurations are also encountered in the context of the solution of transient purely elastic problems with the convolution quadrature method. Relying on the theory proposed in [12] for  $\mathcal{H}^2$ -matrices for Helmholtz problems, we study the influence of the introduction of damping on the data compression rate of standard  $\mathcal{H}$ -matrices. We propose an improvement of  $\mathcal{H}$ -matrix-based fast methods for this kind of configuration and illustrate how the introduction of a complex wavenumber can, as expected, improve further the efficiency of such methods. This work is complementary to the recent report [12]. Here, in addition to addressing another physical problem, we consider standard  $\mathcal{H}$ -matrices, derive an additional condition to obtain more compression and we perform extensive numerical experiments.

This paper is organized as follows. In Section 2, we recall the main algorithmic components of standard  $\mathcal{H}$ -matrices. Then in Section 3, we review existing and

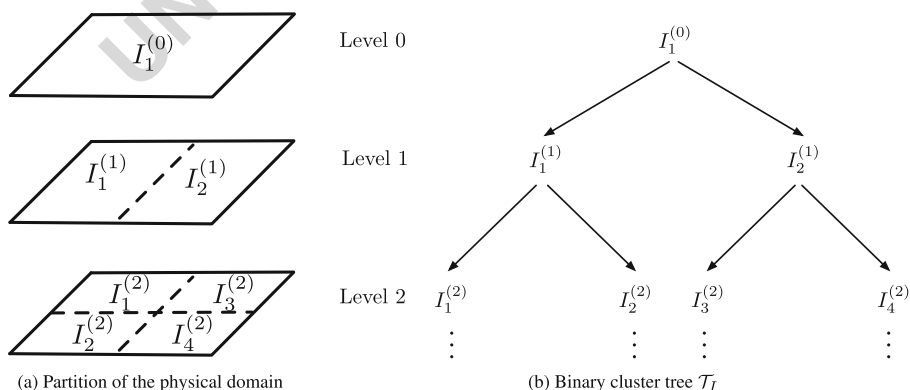
106 improved admissibility conditions for the case of complex wavenumbers. We discuss  
 107 the similarities, differences and novelties compared to [12]. In Section 4, we perform  
 108 extensive numerical tests to show the efficiency of a new admissibility condition,  
 109 designed to improve the efficiency of standard  $\mathcal{H}$ -matrices in the case of complex  
 110 wavenumbers. Section 5 is devoted to the discussion of some practical situations in  
 111 which this improved approach will be useful. The paper ends with some conclusions  
 112 and future works.

113 **2 Main components of  $\mathcal{H}$ -matrices**

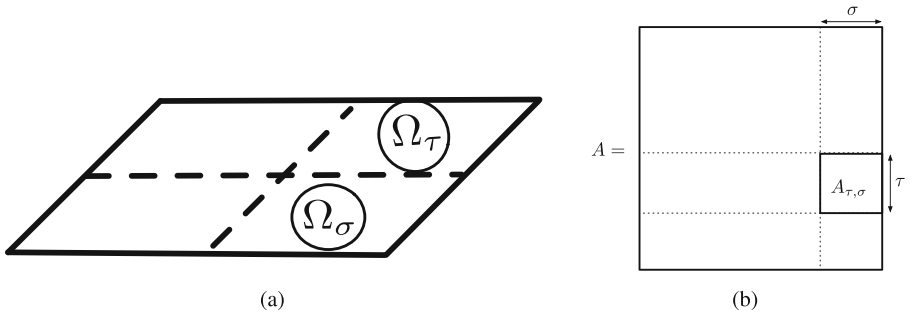
114 Hierarchical matrices or  $\mathcal{H}$ -matrices have been introduced by Hackbusch [22] to  
 115 compute a data-sparse representation of some special dense matrices (e.g., matrices  
 116 resulting from the discretization of non-local operators). The principle of  $\mathcal{H}$ -matrices  
 117 is (i) to partition the matrix into blocks and (ii) to perform low-rank approximations  
 118 of the blocks of the matrix which are known a priori (by using an admissibility condi-  
 119 tion) to be accurately approximated by low-rank decompositions. With these two  
 120 ingredients it is possible to define fast iterative and direct solvers for matrices having  
 121 a hierarchical representation. Using low-rank representations, the memory require-  
 122 ments and costs of a matrix-vector product are reduced. In addition, using  $\mathcal{H}$ -matrix  
 123 arithmetic it is possible to derive fast direct solvers.

124 **Clustering of the unknowns** The key ingredient of hierarchical matrices is the recur-  
 125 sive block subdivision (Fig. 1). The first step, prior to the partition of the matrix, is  
 126 thus a partitioning based on the geometry of the set of row and column indices of  
 127 the matrix  $\mathbb{A}$ . The purpose is to perform a permutation of the indices in the matrix  
 128 to reflect the physical distance and thus interaction between degrees of freedom. Con-  
 129 secutive indices should correspond to DOFs that interact at close range. For the sake  
 130 of clarity, in this work  $\mathbb{A}$  is defined by the same set of indices  $I = \{1, \dots, n\}$  for rows  
 131 and columns. A binary tree  $\mathcal{T}_I$  is used to drive the clustering. Each node of the tree

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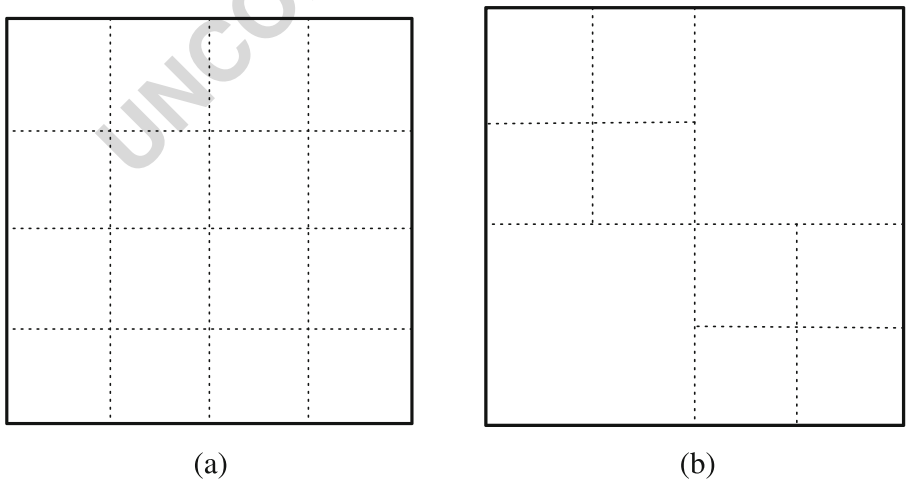
**Fig. 1** Illustration of the clustering of the degrees of freedom: (a) partition of the degrees of freedom in the domain and (b) corresponding binary tree



**Fig. 2** Illustration of the construction of the block cluster tree: (a) clustering of the unknowns on the geometry and (b) corresponding block clustering in the matrix

defines a subset of indices  $\sigma \subset I$  and each subset corresponds to a part in the partition of the domain. There exist different approaches to perform the subdivision [23]. We consider the simplest possible one : based on a geometric argument. For each node in the tree, we determine the box enclosing all the points in the cloud and subdivide it into two balanced boxes, along the largest dimension. The subdivision is stopped when a prescribed number of points per box  $N_{\text{leaf}}$ , is reached. The depth of the tree  $\mathcal{T}_I$  is denoted by  $L(I)$ .

**Subdivision of the matrix** After the clustering of the unknowns is performed, a block cluster representation  $\mathcal{T}_{I \times I}$  of the matrix  $\mathbb{A}$  is defined by going through the cluster tree  $\mathcal{T}_I$ . Each node of  $\mathcal{T}_{I \times I}$  contains a pair  $(\sigma, \tau)$  of indices of  $\mathcal{T}_I$  and defines a block of  $\mathbb{A}$  (see Fig. 2). This uniform partition defines a block structure of the matrix with a full pattern of  $4^{L(I)-1}$  blocks, in particular every node of the tree at the leaf level is connected with all the other nodes at the leaf level (Fig. 3a). This partition



**Fig. 3** (a) Block cluster representation  $\mathcal{T}_{I \times I}$  for the illustrative example (full structure). (b) Hierarchical partition  $\mathcal{P} \subset \mathcal{T}_{I \times I}$  of the same matrix based on the admissibility condition (sparse structure)

145 is not optimal since some parts of the matrix  $\mathbb{A}$  can accurately be approximated by  
 146 a low-rank matrix at a higher level (i.e., for larger clusters). Such blocks are said to  
 147 be *admissible*. A hierarchical representation  $\mathcal{P} \subset \mathcal{T}_{I \times I}$  that uses the cluster tree  $\mathcal{T}_I$   
 148 and the existence of *admissible* blocks is more appropriate. Starting from the initial  
 149 matrix, each block is recursively subdivided until it is either *admissible* or the leaf  
 150 level is reached. For complex 3D geometries, an admissibility condition based on the  
 151 geometry and the interaction distance between points is used to determine a priori  
 152 the *admissible* blocks. For more details on the construction of the block cluster tree,  
 153 we refer the interested reader to [9]. The partition  $\mathcal{P}$  is subdivided into two subsets  
 154  $\mathcal{P}^{\text{ad}}$  and  $\mathcal{P}^{\text{non-ad}}$  reflecting the possibility for a block  $\tau \times \sigma$  to be either *admissible*,  
 155 i.e.,  $\tau \times \sigma \in \mathcal{P}^{\text{ad}}$ ; or *non-admissible*, i.e.,  $\tau \times \sigma \in \mathcal{P}^{\text{non-ad}}$ . It is clear that  $\mathcal{P} =$   
 156  $\mathcal{P}^{\text{ad}} \cup \mathcal{P}^{\text{non-ad}}$ . To sum up, the blocks of the partition can be of three types: at the leaf  
 157 level a block can be either an *admissible* block or a *non-admissible* block, at a non-  
 158 leaf level a block can be either an *admissible* block or an  $\mathcal{H}$ -matrix (i.e., a block that  
 159 will be subsequently hierarchically subdivided).

160 **Performing low-rank approximations** Once the *admissible* blocks are determined, an  
 161 accurate rank-revealing algorithm is applied to determine low-rank approximations.  
 162 We recall that the numerical rank of a matrix  $\mathbb{A}$  is

$$r(\varepsilon) := \min\{r \mid \|\mathbb{A} - \mathbb{A}_r\| \leq \varepsilon \|\mathbb{A}\|\} \tag{5}$$

163 where  $\mathbb{A}_r$  defines the singular value decomposition (SVD) of  $\mathbb{A}$  keeping only the  
 164  $r$  largest singular values and  $\varepsilon > 0$  is a given parameter. Such an algorithm must  
 165 be accurate (i.e., its result, the computed numerical rank, must be as small as  
 166 possible) to avoid unnecessary computational costs. The truncated Singular Value  
 167 Decomposition (SVD) [17] gives the best low-rank approximation (Eckart-Young  
 168 theorem) for unitary invariant norms (e.g., Frobenius or spectral norm). Thus, it  
 169 produces an approximation with the smallest possible numerical rank for a given pre-  
 170 scribed accuracy. But the computation of the SVD is expensive, i.e., in the order of  
 171  $O(\max(m, n) \times \min(m, n)^2)$  for an  $m \times n$  matrix, and in addition it requires the com-  
 172 putation of all the entries of  $\mathbb{A}$ . In the context of the  $\mathcal{H}$ -matrices, the use of the SVD  
 173 would induce the undesired need to assemble the complete matrix.

174 The adaptive cross approximation (ACA) [3, 4] offers an interesting alternative to  
 175 the SVD since it produces a quasi-optimal low-rank approximation without requir-  
 176 ing the assembly of the complete matrix. The starting point of the ACA is that every  
 177 matrix of rank  $r$  is the sum of  $r$  matrices of rank 1. The ACA is thus a greedy algo-  
 178 rithm that improves the accuracy of the approximation by adding iteratively rank-1  
 179 matrices. There are various ACAs that differ by the choice of the best pivot at each  
 180 iteration. The simplest approach is the so-called fully pivoted ACA and it consists  
 181 in choosing the pivot as the largest entry in the residual. But similarly to the SVD,  
 182 it requires the computation of all the entries of  $\mathbb{A}$  to compute the pivot indices. It  
 183 is not an interesting option for the construction of  $\mathcal{H}$ -matrices. The partially pivoted  
 184 ACA proposes an alternative approach to choose the pivot avoiding the assembly of  
 185 the complete matrix. The idea is to maximize alternately the residual for only one of  
 186 the two indices and to keep the other one fixed. With this strategy, only one row and  
 187 one column is assembled at each iteration. The complexity of the partially pivoted

ACA is reduced to  $O(r_{ACA}^2(m+n))$ , where  $r_{ACA}$  is the achieved rank. This is the approach used in this work but the results presented do not depend on this choice. Other approaches such as fast multipole expansions [21, 31], panel clustering [24, 32], quadrature formulas [8] or interpolations [30] could be used. The advantages of the ACA are to be purely algebraic and easy to implement.

### 3 Existing admissibility conditions and improvements for the case of complex wavenumbers

The admissibility condition enables to distinguish blocks which are known a priori to be accurately approximated by a low-rank approximation. The standard admissibility condition for  $\mathcal{H}$ -matrices, optimal for asymptotically smooth kernels and efficient for oscillatory kernels is given by

$$\text{the block } X \times Y \text{ is admissible if } \min(\text{diam}(X), \text{diam}(Y)) \leq \eta \text{dist}(X, Y) \quad (6)$$

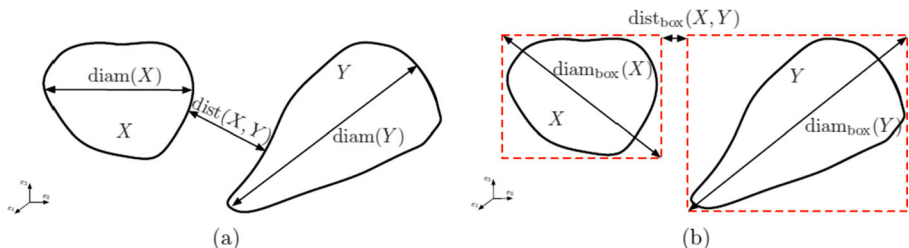
with  $\text{diam}(X)$ , the diameter of the cluster  $X$  (in practice the diameter of the bounding box), and  $\text{dist}(X, Y)$ , the minimal distance between points on clusters  $X$  and  $Y$  (the distance between the bounding boxes in practice) (see Fig. 4). Following the analysis detailed in [14], we will set  $\eta = 3$ .

**Sparsification condition for damped kernels** In the context of oscillatory kernels with complex wavenumbers, we know that  $\frac{\exp(ik_\beta r)}{r}$  is the dominant term of the 3D elastodynamic kernel function. A first issue is thus to determine when the negative exponential term introduced in the kernel, due to  $\text{Im}(k_\beta) > 0$  (with  $\beta = s$  or  $p$ ), dominates the oscillatory behavior. For a given wavenumber  $k_\beta \in \mathbb{C}$  with  $\text{Im}(k_\beta) > 0$ , the question thus reduces to find the smallest value  $r_{lim} > 0$  such that:

$$\forall r \geq r_{lim}, \left| \frac{\exp(ik_\beta r)}{r} \right| \leq \varepsilon_{decay} \left| \frac{\exp(ik_\beta r_{min})}{r_{min}} \right| \quad (7)$$

In (7), there are two parameters:

- $r_{min}$ , which is related to the mesh. It can be defined for example as the minimal distance between two nodes of the boundary element mesh (importantly it is thus also related to the minimal distance between two clusters).



**Fig. 4** Efficient implementation of the admissibility condition: (a) theoretical condition and (b) implemented condition

213 –  $\varepsilon_{decay}$ , which is the threshold parameter of the sparsification.

214 If we can define for a given wavenumber such an  $r_{lim}$ , we know that some blocks of  
 215 the matrix will be full of zeros, or at least all their entries are sufficiently small so  
 216 that the approximation can be chosen as a 0-rank approximation, i.e., it is also full of  
 217 zeros. The aim of this section is thus to determine if we can complement the standard  
 218 admissibility condition (6) to take into account these sparse blocks.

219 In the discussion below, the wavenumber is denoted by  $k \in \mathbb{C}$  with  $Im(k) > 0$ .  
 220 In the recent report [12], sparsification of oscillatory kernels with damping is also  
 221 considered. One adjustable parameter is the order  $\tilde{m}$  of the polynomials required to  
 222 approximate the oscillatory kernel on a given admissible block  $X \times Y$ . Precisely  
 223 in [12, definition (3.9)] the order of approximation  $\tilde{m}$  is chosen so that the Chebyshev  
 224 interpolation of the oscillatory kernel on the given admissible block  $X \times Y$  achieves  
 225 the requested approximation error. It is noted that if the resulting order is strictly  
 226 lower than 0 ( $\tilde{m} = -1$ ), then the entries are sufficiently small so that the approxima-  
 227 tion is made of zeros. In this particular case the approximation boils down to a 0-rank  
 228 approximation. Interestingly, a rigorous mathematical analysis is carried out, and the  
 229 order of approximation  $\tilde{m}$  on the given admissible block  $X \times Y$  is chosen so that (see  
 230 [12, bottom of page 11]):

$$C_0 \rho_0^{-\tilde{m}} \left| \frac{\exp(i\sigma kr)}{r} \right| \leq \varepsilon_{error} \tag{8}$$

231 where  $\varepsilon_{error} > 0$  is the target approximation error, while  $\sigma, C_0 > 0$ , and  $\rho_0 > 1$  are  
 232 given values (see again [12, §4]). So, up to some scaling factor, we note that condition  
 233 (8) with  $\tilde{m} = -1$  is completely similar to the proposed condition (7). Interestingly,  
 234 even though empirical (7) is based on a sound mathematical analysis thanks to the  
 235 equivalent condition (8) that is proposed and analyzed in [12]. Now, there remains  
 236 to take into account those blocks that fulfil condition (7). We propose a heuristic  
 237 condition, see (9) below. The major difference with [12] is that we do not limit the  
 238 search to admissible blocks.

239 The first important remark concerns admissible blocks that fulfil condition (6)  
 240 when an algebraic approach is used to perform the low-rank approximation (which  
 241 is another difference with [12]). A priori, the numerical rank of a block full of zeros  
 242 is 0, so the ACA will automatically perform a low-rank approximation with a rank  
 243 equal to 0. And if all the admissible blocks are blocks full of zeros then the maximal  
 244 numerical rank of admissible blocks  $r_{ACA}^{max}$  is equal to 0. Second, one has to check  
 245 whether some additional gains can be obtained on the storage of the non-admissible  
 246 blocks, when they are made of entries with small/negligible values. This is the main  
 247 originality of our new admissibility condition. In relation with the definition (7) of  
 248  $r_{lim}$ , we add a condition based on the distance between clusters of non-admissible  
 249 blocks. When  $r_{ACA}^{max} = 0$ , we propose the following simple admissibility condition to  
 250 take into account potential non-admissible blocks full of zeros:

If  $r_{ACA}^{max} = 0$ , a non-admissible block  $X \times Y$  is replaced by a matrix of zeros if  $\text{dist}(X, Y) \geq r_{lim}$ .  
 (9)



The aim of the additional admissibility condition (9) is to further reduce storage requirements by approximating a large number of non-admissible blocks by matrices full of zeros. Note that it is tested only if all admissible blocks can already be approximated by matrices full of zeros, i.e., if  $r_{ACA}^{max} = 0$ . This is a conditional test that may, or may not, be implemented, in the limit of  $\varepsilon_{decay}$  tending to  $0^+$ . Conditionality is expected to depend heavily on the damping.

**Sparsification condition for oscillatory kernels** For an oscillatory kernel, possibly with damping, a well-known issue is to take into account its behavior depending on the direction. In the literature, this corresponds to the so-called  $\mathcal{H}^2$ -matrix, or directional, methods. We refer again to [12, definition 3.2], where an admissibility condition is proposed in the context of directional  $\mathcal{H}^2$ -matrices for Helmholtz problems with complex frequencies. For a wavenumber  $k \in \mathbb{C}$  with  $Im(k) > 0$ , and given  $\eta = (\eta_i)_{i=1}^3 \in \mathbb{R}_{>0}^3$ , the admissibility condition writes: a pair of clusters  $X, Y \in \mathcal{T}_l$  and a direction  $c \in \mathbb{S}_2$  (unit sphere in  $\mathbb{R}^3$ ) are said to be  $\eta$ -admissible if they satisfy the following three conditions:

$$|Re(k)| \left\| \frac{M_X - M_Y}{\text{dist}(M_X, M_Y)} - c \right\| \leq \frac{\eta_1}{\max\{\text{diam}^2(X), \text{diam}^2(Y)\}} \tag{10a}$$

$$\max\{\text{diam}(X), \text{diam}(Y)\} \leq \eta_2 \text{dist}(X, Y) \tag{10b}$$

$$|Re(k)| \max\{\text{diam}^2(X), \text{diam}^2(Y)\} \leq \max\{\eta_2, \eta_3(Im(k))\text{dist}(X, Y)\} \text{dist}(X, Y) \tag{10c}$$

The first condition, i.e., (10a), corresponds to the choice of the sector in the directional approach, with  $M_X$  and  $M_Y$  respectively the centers of the clusters  $X$  and  $Y$ , while  $c$  is a unit vector defining the direction along which the wave is travelling. This is the purely directional condition, which is included in the choice of  $C_0$  from condition (8) (see formula (4.5) from Lemma 4.1 page 15 in [12]).

Then, condition (10b) is similar to the standard admissibility condition (6). Furthermore, they are equivalent for clusters of similar size.

In this work we focus on the understanding of condition (10c) which is used to determine when a sparse approximation can be performed. (10c) is an adaptation of the  $\mathcal{H}^2$  part of the admissibility condition for kernels with complex wavenumbers. Importantly, this condition reduces the set of admissible blocks compared to the standard admissible condition in  $\mathcal{H}$ -matrices. (10c) includes two criteria that we separate below, see (11) and (12). If  $Im(k)$  is large enough (we will explain what it means in the following), the condition (10c) becomes:

$$|Re(k)| \max\{\text{diam}^2(X), \text{diam}^2(Y)\} \leq \eta_3(Im(k))\text{dist}^2(X, Y) \tag{11}$$

This criterion becomes less and less restrictive as the value of damping ratio  $\alpha = Im(k)/Re(k)$  increases, meaning that it does not significantly reduce the set of admissible blocks compared to standard  $\mathcal{H}$ -matrices. On the other hand, if  $Im(k)$  is small (which includes the limiting case  $Im(k) = 0$ ) then (10c) simplifies to the standard condition for  $\mathcal{H}^2$ -matrices in the case without damping [11]

$$|Re(k)| \max\{\text{diam}^2(X), \text{diam}^2(Y)\} \leq \eta_2\text{dist}(X, Y) \tag{12}$$

287 Finally, (10c) reduces to (10b) if  $|Re(k)| \sim (\max\{\text{diam}(X), \text{diam}(Y)\})^{-1}$  and  $Im(k)$   
 288 is small.

289 The previous considerations have been derived for the Helmholtz equation but  
 290 can be extended straightforwardly to elastodynamics since, in that case, the Green's  
 291 tensors are only linear combinations of derivatives of the Helmholtz Green's function.  
 292 In elasticity, to avoid any ambiguity due to the coexistence of P and S waves, we  
 293 choose to define the damping ratio as  $\alpha_s = Im(k_s)/Re(k_s)$ .

294 Figure 5 illustrates on an example ( $\eta_2 = 2, \eta_3 = 0.5, Re(k_s) = 18$  and  
 295  $Im(k_s) = 0.3$ ) how the two criteria (11) and (12) help realize condition (10c). We  
 296 represent the different scenarios for various hypothetical distances  $y = \text{dist}(X, Y)$   
 297 and diameters  $x = \max(\text{diam}(X), \text{diam}(Y))$  of the blocks. The blue curve shows the  
 298 limit when criterion (12) becomes satisfied and the red curve the limit when criterion  
 299 (11) becomes satisfied. Obviously, there are four scenarios:

- 300 – both criteria hold;
- 301 – only criterion (11) holds;
- 302 – only criterion (12) holds;
- 303 – no criterion holds;

304 Let's now see how (10c) works in practice, for different damping ratios  $\alpha_s$ . For all  
 305 the remaining of this work, we define  $\mathbb{G}$  as the  $3N_c \times 3N_c$  matrix corresponding to  
 306 the discretization of the 3D visco-elastodynamic Green's tensor at the  $N_c$  discretiza-  
 307 tion points. This matrix is further decomposed into nine submatrices  $(\mathbb{G}_{\alpha\beta})_{1 \leq \alpha, \beta \leq 3}$ ,  
 308 each submatrix  $\mathbb{G}_{\alpha\beta}$  corresponding to the discretization of the  $G_{\alpha\beta}$ -component of the  
 309 Green's tensor. We consider a practical implementation on a sphere of radius  $a = 1$ ,

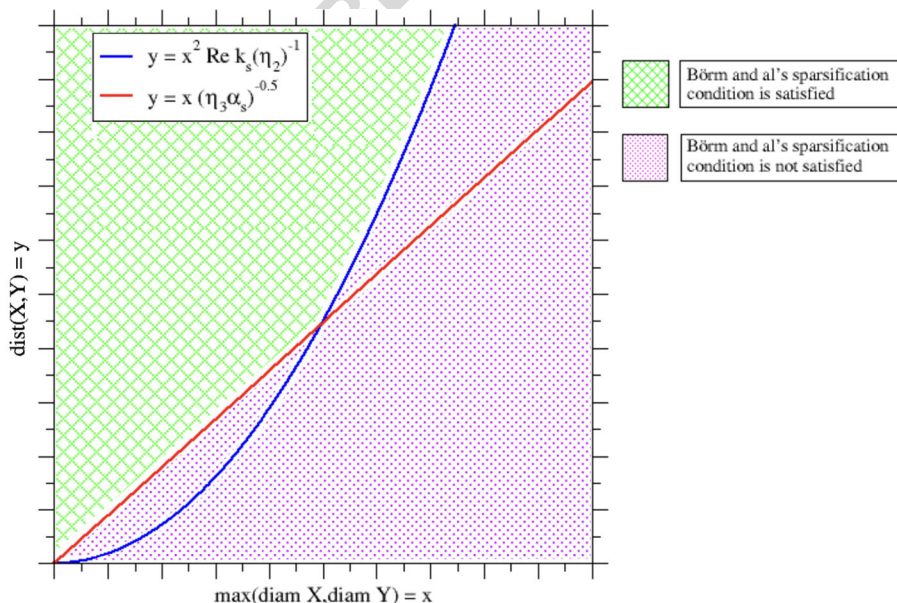
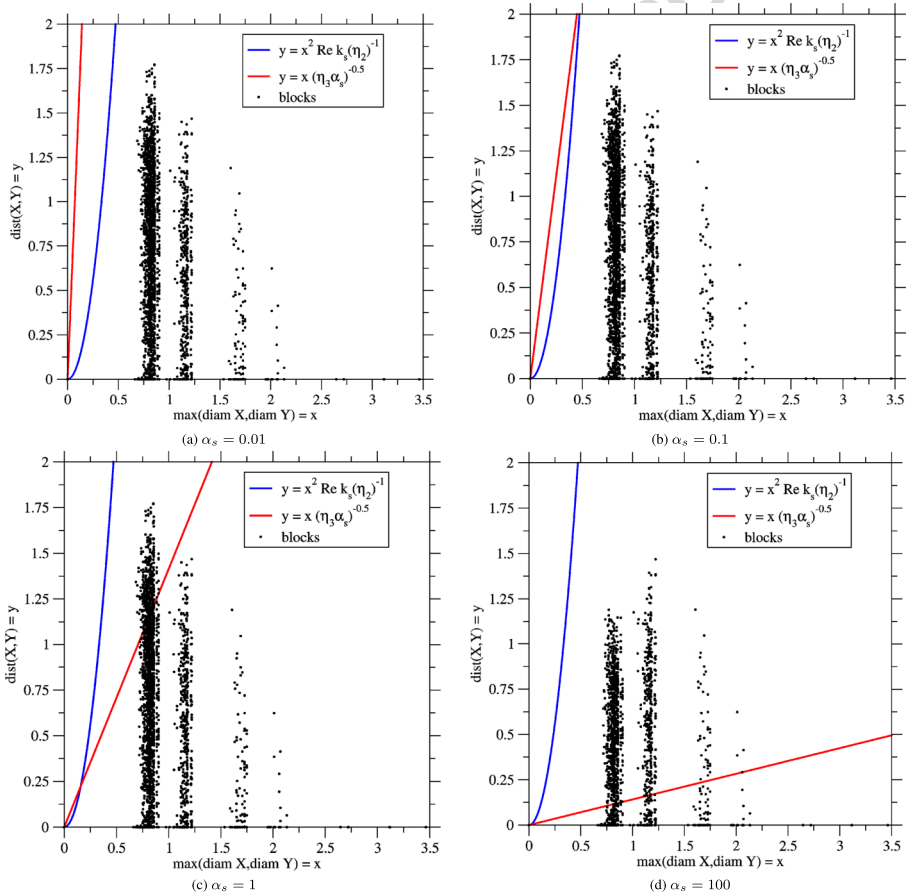


Fig. 5 Sparsification condition (10c): criterion (11) vs. criterion (12)

resulting in a  $10274 \times 10274 \mathbb{G}_{11}$  matrix after discretization. All the different blocks of the  $\mathcal{H}$ -matrix representation are represented by black squares. The blocks are thus admissible if the square is located above the blue curve or the red curve. As a first illustration, see Fig. 6, we consider  $N_{\text{leaf}} = 100$  and four values of damping ratio:  $\alpha_s = 0.01, 0.1, 1$  and  $100$ . The wavenumber is chosen to yield a fixed density of points of 10 points per wavelength, i.e.,  $k_s a = 18$ . We see that for a small damping ratio ( $\alpha_s = 0.01$  and  $0.1$ ), the condition (10c) is not satisfied at all. For a larger damping ratio  $\alpha_s = 1$ , some blocks satisfy (11) but none of them satisfies (12). In that case, (10c) is equivalent to (11). Even if a greater number of blocks are admissible with respect to criterion (11) for  $\alpha_s = 100$ , criterion (12) is never fulfilled. In this setting, we conclude that condition (10c) is not satisfied when the damping ratio remains *small*. And, when the damping ratio increases, it seems that (11) is the dominant criterion to realize condition (10c). These results are in agreement with our expectation of a restrictive criterion for small damping ratios. 310  
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**Fig. 6** Sparsification condition (10c): criterion (11) vs. criterion (12) for different damping ratios [ $N_{\text{leaf}} = 100; Re(k_s)a = 18$ ]

324 On the other hand, the fact that condition (10c) holds through (11) or (12) depends  
 325 on the stopping criterion  $N_{leaf}$  used in the binary tree. Indeed, we observe that if the  
 326 value of  $N_{leaf}$  is decreased, then one gets smaller clusters, i.e., with a smaller diam-  
 327 eter. So, we consider the same geometry, now with  $N_{leaf} = 20$ . Figure 7 shows that  
 328 for a small damping ratio  $\alpha_s = 0.01$ , some blocks satisfy (12) but that none of them  
 329 satisfies (11), so (10c) is equivalent to (12). For  $\alpha_s = 0.1$  some blocks satisfy both  
 330 (12) and (11) criteria but (12) has become the dominant criterion. Then for  $\alpha_s = 1$ ,  
 331 some blocks satisfy both (12) and (11) criteria, and (11) is the dominant criterion.  
 332 Finally for  $\alpha_s = 100$ , a majority of blocks satisfy (11) and there is a very small number  
 333 of blocks which satisfy (12): (11) is the dominant criterion again. So, it appears  
 334 that when  $N_{leaf}$  is small, in the sense that a wider distribution of diameters towards 0  
 335 is at hand, the different scenarios expected in Fig. 5 can actually be observed: condi-  
 336 tion (10c) is met even for a small damping ratio, and moreover either (11) or (12) is  
 337 the dominant criterion.

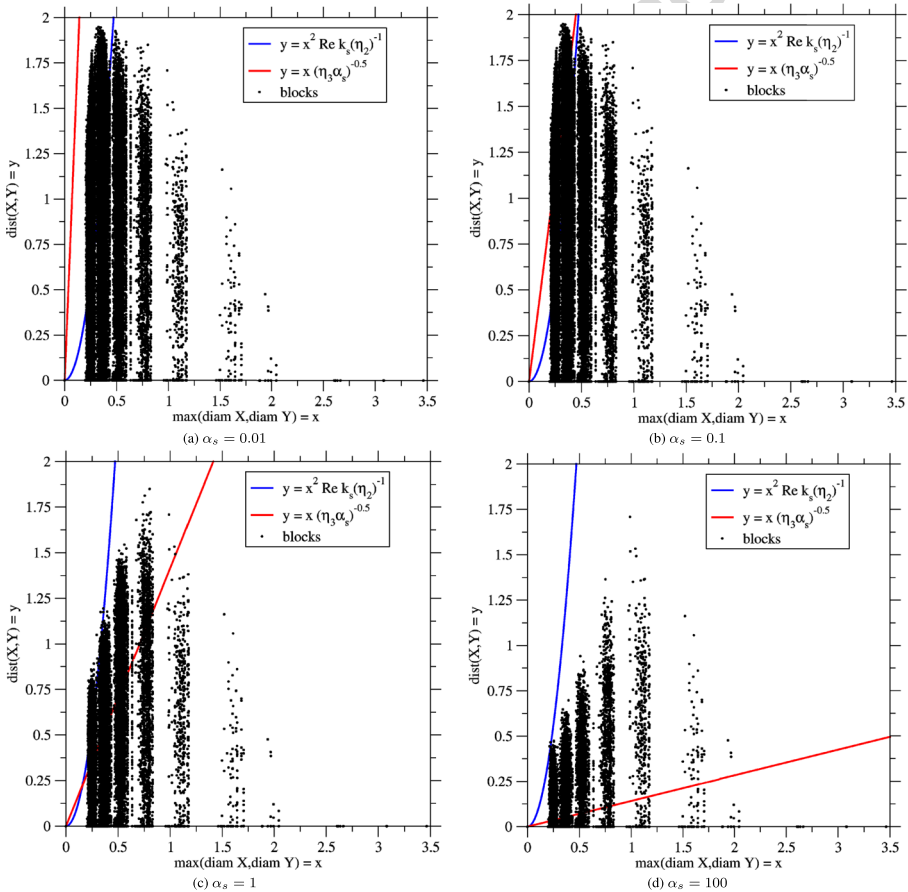
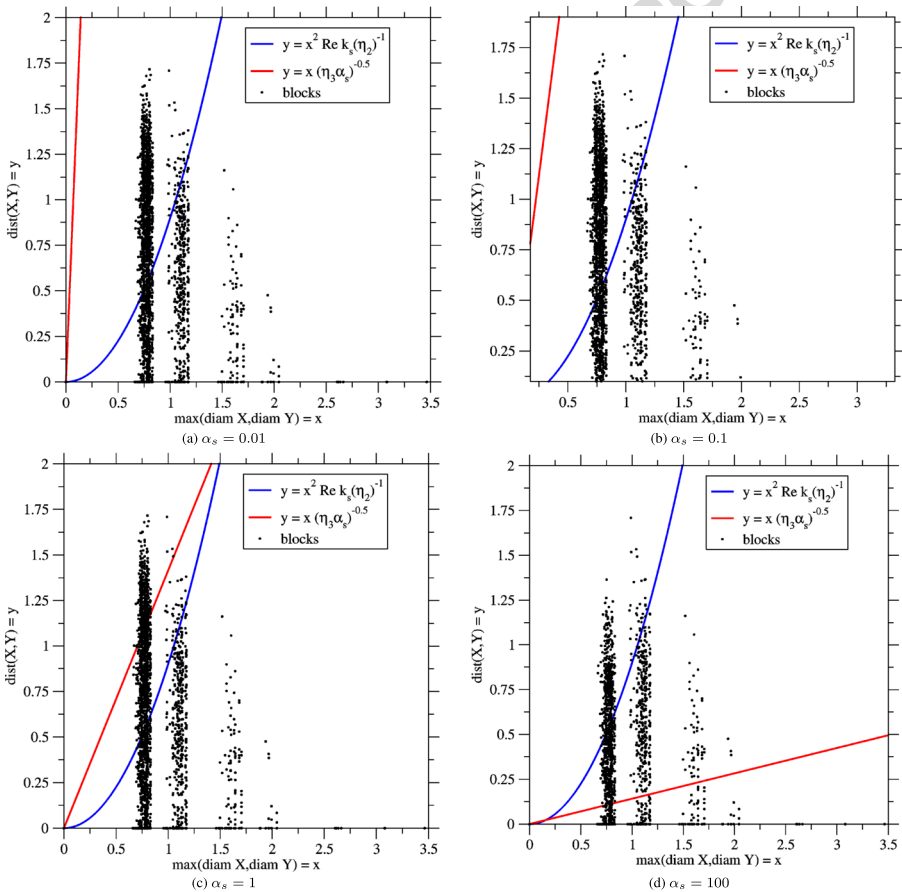


Fig. 7 Sparsification condition (10c): criterion (11) vs. criterion (12) for different damping ratios [ $N_{leaf} = 20$ ;  $Re(k_s)a = 18$ ]

It is also possible to get the same kind of results by decreasing the frequency in order to change the referenced position of both the blue curve and the red curve. Figure 8 presents the same results but when the frequency is divided by 10, leading to about 100 points per wavelength. For the small damping levels  $\alpha_s = 0.01$  and  $\alpha_s = 0.1$ , some blocks satisfy (12) but none of the blocks satisfies (11), so condition (10c) is equivalent to (12). For  $\alpha = 1$ , some blocks satisfy (12) and (11), but the majority of blocks satisfy (12); thus, (10c) is equivalent to (12). Finally for  $\alpha_s = 100$ , some blocks satisfy (12) and (11), but the majority of blocks satisfy (11); thus, (10c) is equivalent to (11). As a conclusion, it appears from these tests that decreasing (respectively increasing) the frequency, and as a consequence the value of  $Re(k_s)$ , leads to a wider (respectively tighter) parabola and to swap the dominant condition between (11) and (12).

At this point, it is important to sum up our understanding on  $\mathcal{H}$ -matrices for oscillatory kernels with a complex wavenumber. To our best knowledge, the only work on the subject in the literature is [12]. But this work is dedicated to the



**Fig. 8** Sparsification condition (10c): criterion (11) vs. criterion (12) for different damping ratios [ $N_{leaf} = 100, Re(k_s)\lambda = 1.80$ ]

353 improvement of directional  $\mathcal{H}^2$ -matrices for oscillatory kernels with a complex  
 354 wavenumber. The tests we have performed show that the proposed improvement is in  
 355 fact to relax the third condition in this admissibility condition (the one that is specific  
 356 to  $\mathcal{H}^2$ -matrices) according to the level of damping in the kernel. However, it appears  
 357 that this adaptation can still be improved on two aspects since:

- 358 – its only aim is to relax the  $\mathcal{H}^2$  part of the admissibility condition; In some sense it  
 359 increases the number of admissible blocks; However it remains more restrictive  
 360 than standard  $\mathcal{H}$ -matrices.
- 361 – it does not test non-admissible blocks to take advantage of the introduction of the  
 362 complex wavenumber and thus one can not obtain further gain by compressing  
 363 some of those blocks.

364 In the next section, we perform extensive numerical tests to check if our new  
 365 admissible condition enables to take these aspects into account and achieve better  
 366 compression efficiency than  $\mathcal{H}^2$ -matrices.

367 **4 Efficiency of the new admissibility condition for standard**  
 368  **$\mathcal{H}$ -matrices**

369 In this section, numerical experiments are conducted to compare both admissi-  
 370 bility conditions ((6)+(9)) and their “counterpart” ((10b)+(10c)). To that aim, we  
 371 study a wave propagation problem in a visco-elastic media containing an obstacle  
 372 represented by a sphere of radius  $a = 1$ .

373 **4.1 Practical parameters for the sparsification condition from [12]**

374 The additional condition (8) where  $\tilde{m} = -1$  enables to replace the admissible blocks  
 375 by matrices of zeros in the approximated Green’s tensor. By definition of  $r_{min}$ , we  
 376 know that  $r \geq r_{min}$  in (8). In the following we assume that  $0 < \varepsilon_{error} \leq r_{min}$ . By  
 377 applying the log on both sides of the inequality and “neglecting the constant,” i.e.,  
 378 setting  $C_0\rho_0$  to 1, we obtain the *sufficient condition*:

$$\log\left(\frac{1}{\varepsilon_{error}}\right) \frac{2}{\sigma r} \leq Im(k) \tag{13}$$

379 By sufficient we mean that:

- 380 – condition (8) and condition (13) are equivalent if  $\varepsilon_{error} \sim r$ ;
- 381 – condition (13) implies condition (8) if  $\varepsilon_{error} \ll r$ .

382 We now choose the value of  $\sigma$  to implement the condition (13) for our practical  
 383 applications. To do that, we apply the condition (13) with  $k = k_s$  and  $\varepsilon_{error} = r_{min}$ ,  
 384 in conjunction with the admissibility condition (10). The number of discretiza-  
 385 tion points is  $N_c = 10274$  ( $\varepsilon_{error} = 0.0253$ ), and a frequency corresponding to  
 386  $Re(k_s)a = 18$  (in order to have roughly 10 points per wavelength). For a damping  
 387 ratio  $\alpha_s = 100$ , one finds by direct inspection that  $r_{ACA}^{max} = 0$  (and then all admis-  
 388 sible blocks can be replaced by matrices of zeros). Testing the condition (13) in the

submatrix  $\mathbb{G}_{11}$  for different values of  $\sigma$  among  $\{10^{-4}, 10^{-3}, \dots, 0.5, 1, 2, \dots, 10^4\}$ , we find that it is satisfied for all admissible blocks and for all values of  $\sigma$ , except  $10^4$ . So, in the rest of the manuscript, we will keep the above condition with  $\sigma = 2$ , which simplifies to

$$\log\left(\frac{1}{\varepsilon_{error}}\right) \frac{1}{r} \leq Im(k) \tag{14}$$

as a practical implementation of the additional condition (8).

**4.2 Practical choices and illustration of the new admissibility condition**

The parameters used in all this section are given in Table 1.  $\omega$  is chosen again to achieve about 10 points per wavelength  $\lambda_s$ .

The first parameter is  $r_{lim}$  that appears in the condition (9). For a fixed value  $\varepsilon_{decay}$  in condition (7), we are able to determine  $r_{lim}$  by observing the evolution of  $\frac{\exp(-Im(k_p)r)/r}{\exp(-Im(k_p)r_{min})/r_{min}}$  with respect to  $r$  for different values of  $k_p$ . Indeed, comparing condition (7) with  $k = k_p$  and  $k = k_s$ , we observe that it is more restrictive with  $k_p$  than with  $k_s$ , because  $0 < Im(k_p) < Im(k_s)$ . We first conduct a numerical experiment to determine the influence on the overall accuracy of the tolerance  $\varepsilon_{decay}$  in (7). We perform the analysis with the conditions ((6)+(9)) and

$$\alpha_s = Im(k_s)/Re(k_s) \in \{11, 20, 33, 66, 100, 500, 1000, 10000\}$$

Let  $\mathbb{G}_{app}$  be the approximated version of  $\mathbb{G}$ . As a matter of fact, as soon as condition (9) is fulfilled we only introduce error due to the approximated non-admissible blocks (i.e., only those blocks that meet condition (9)) since admissible blocks are equal to zero and do not contribute to the Frobenius norm, we consider the relative error:

$$err_{rel} = \left(\frac{\|\mathbb{G}_{11} - (\mathbb{G}_{11})_{app}\|_F}{\|\mathbb{G}_{11}\|_F}\right)_{\text{among non-admissible blocks}} \tag{15}$$

This relative error might be too strict compared to the error observed in a BEM solver. It represents the upper bound of the error obtained for example when a matrix-vector product is performed in the iterative solver. However in practice, it is quite close to the achieved bound. This error can be easily linked to the error performed in the case we want to solve a system such that the ones encountered in the context of the BEM. If we consider the system  $\mathbb{G}_{11,app} \mathbf{X}_{app} = \mathbf{b}$  instead of  $\mathbb{G}_{11} \mathbf{X} = \mathbf{b}$ , then the error introduced on the solution is bounded by (e.g., [15, Theorem 2.2-1 p49 and Theorem 1.4-4 p28])

$$\frac{\|\mathbf{X} - \mathbf{X}_{app}\|_2}{\|\mathbf{X}_{app}\|_2} \leq \text{cond}_F(\mathbb{G}_{11}) err_{rel}$$

In Table 2 we report these errors for three values of  $\varepsilon_{decay}$  together with the number of non-admissible blocks approximated by a block full of zeros. Importantly for this example, with the parameters used, we have a total of 2 242 blocks, 828 of them being non-admissible with the standard admissibility condition. We note that as expected, this additional approximation has an effect on the accuracy of the data-sparse approximation. However for  $\varepsilon_{decay} = 10^{-10}$ , the relative error on the Green's tensor is always well below  $10^{-3}$ . In comparison, the relative approximation errors

**Table 1** Parameters

Parameter type	Material characteristics	S and P waves	Discretization			H-matrices
Admissibility condition <b>(6)+(9)</b>	$\mu$ 1 2 $\lambda$ 2 $\frac{1}{3}$ $\nu$ $\frac{1}{3}$	$\alpha_s = Im(k_s)/Re(k_s)$ {0, 0.01, 0.1, 1, 10, 100, 1000, 10000}	$Re(k_p)$ $k_s/2$	$Re(k_s)a$ 18	$N_c$ 10274	$N_{leaf}$ 100 $\eta$ 3
<b>(10)</b>	1 2 2 $\frac{1}{3}$	{0, 0.01, 0.1, 1, 10, 100, 1000, 10000}	$k_s/2$	18	10274	100 $\eta_1 = 10$ $\eta_2 = 2$ $\eta_3 = 1/2$ $10^{-4}$



**Table 2** Evolution of  $err_{rel}$  with respect to the damping ratio and tolerance in condition (7) [ $Re(k_s)a = 18$ ]

Damping ratio	$err_{rel} \varepsilon_{decay} = 10^{-3}$	Blocks (9) $\varepsilon_{decay} = 10^{-3}$	$err_{rel} \varepsilon_{decay} = 10^{-3}$	Blocks (9) $\varepsilon_{decay} = 10^{-5}$	$err_{rel} \varepsilon_{decay} = 10^{-5}$	Blocks (9) $\varepsilon_{decay} = 10^{-10}$	$err_{rel} \varepsilon_{decay} = 10^{-10}$	Blocks (9) $\varepsilon_{decay} = 10^{-10}$
11	$2.49 \cdot 10^{-2}$	406	$1.66 \cdot 10^{-4}$	330	$4.25 \cdot 10^{-10}$	174		
20	$2.16 \cdot 10^{-2}$	414	$1.41 \cdot 10^{-2}$	374	$1.48 \cdot 10^{-9}$	252		
33	$1.04 \cdot 10^{-2}$	418	$9.10 \cdot 10^{-3}$	404	$1.62 \cdot 10^{-7}$	312		
66	$1.72 \cdot 10^{-3}$	424	$1.55 \cdot 10^{-3}$	414	$6.09 \cdot 10^{-4}$	390		
100	$3.50 \cdot 10^{-4}$	430	$3.45 \cdot 10^{-4}$	420	$3.41 \cdot 10^{-4}$	406		
500	$2.29 \cdot 10^{-10}$	438	$6.23 \cdot 10^{-13}$	436	$9.36 \cdot 10^{-14}$	426		
1000	$< 10^{-16}$	442	$< 10^{-16}$	438	$< 10^{-16}$	434		
10000	$< 10^{-16}$	444	$< 10^{-16}$	444	$< 10^{-16}$	444		

424 reach a maximum of about  $1.5 \cdot 10^{-2}$  for a tolerance  $\varepsilon_{decay} = 10^{-5}$  and about  $3 \cdot 10^{-2}$   
 425 for a tolerance  $\varepsilon_{decay} = 10^{-3}$ . We performed the same tests on larger problems with  
 426  $N_c$  roughly equal to respectively 30 000, 60 000 and 100 000. In these cases, the  
 427 error follow the same trends. These results seem to advocate in favor of the use of  
 428  $\varepsilon_{decay} = 10^{-10}$ . The key point is that, depending on the accuracy needed for a given  
 429 configuration, this parameter can be tuned. The accuracy of the low-rank approxima-  
 430 tion is not the only factor to take into account. This criterion has also an influence on  
 431 the number of blocks which can be replaced by matrices full of zeros and thus on the  
 432 data compression rate. From Table 2, we anticipate more savings while the damping  
 433 ratio increases. We will consider this aspect next.

434 Now that we have an insight on the effect of  $\varepsilon_{decay}$  on the accuracy, Fig. 9  
 435 illustrates the behavior of the dominant factor in the elastodynamic Green's tensor  
 436 (if a complex wavenumber is considered). This figure shows in particular that, as  
 437 expected, if the damping ratio increases the required value of  $r_{lim}$  decreases.

438 We now compare the effect of the additional condition (9) to complement the clas-  
 439 sic admissibility condition (6) on the submatrix  $\mathbb{G}_{11}$ . On Fig. 10a, admissible (and  
 440 zero) blocks are in blue and red blocks represent non-admissible blocks. In compar-  
 441 ison, Fig. 10b show the distribution if only condition (6) is enforced. A significant  
 442 gain of storage is clearly visible as the number of red blocks is quite smaller in  
 443 Fig. 10b than in Fig. 10a. The observed data compression rates are  $\tau = 0.104$  with  
 444 the conditions ((6)+(9)) to be compared to  $\tau = 0.202$  with the standard condition (6).

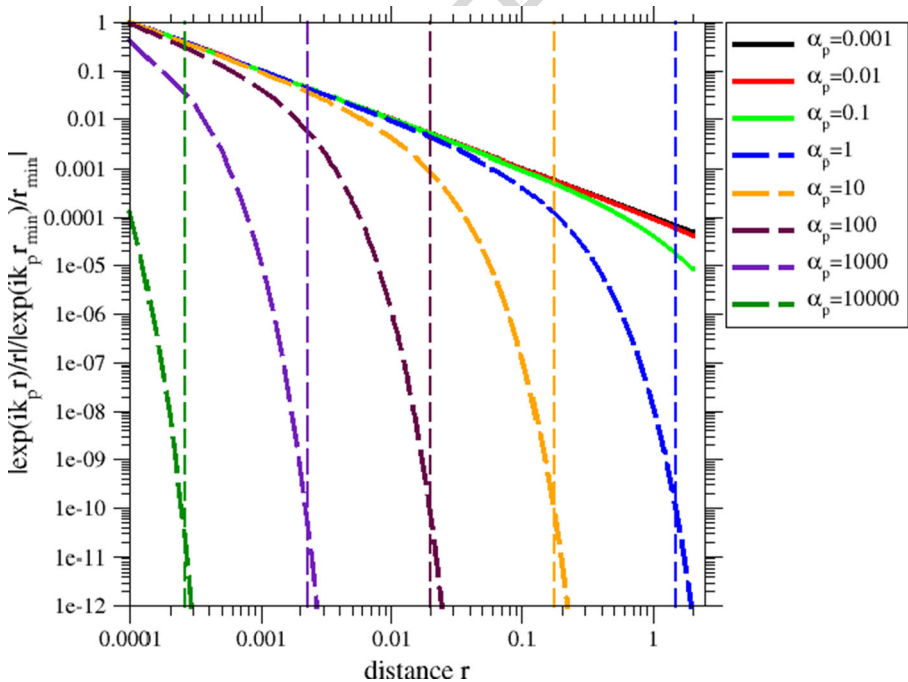
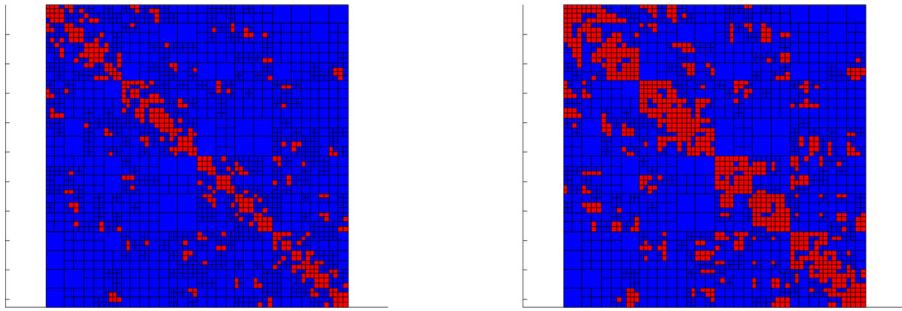


Fig. 9 Evolution of the dominant factor in the elastodynamic (with a complex wavenumber) Green's tensor with respect to the distance for tolerance  $\varepsilon_{decay} = 10^{-10}$  and different damping ratios [ $Re(k_p)a = Re(k_s)a/2 = 9$ ]



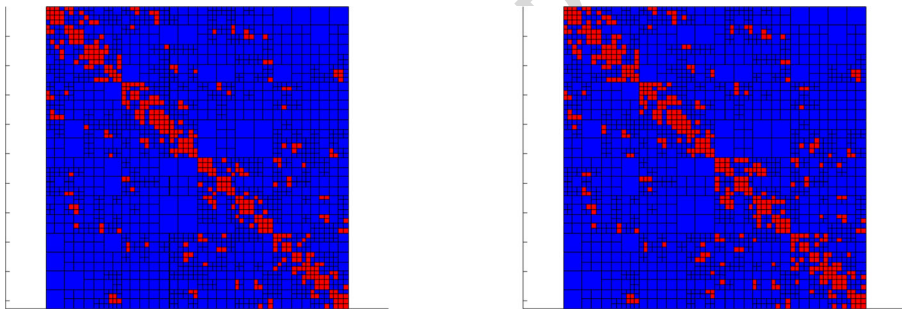
(a) Improved condition -  $\tau = 0.104$

(b) Standard condition -  $\tau = 0.202$

**Fig. 10** Illustration with colored patches of the type of blocks represented when (a) conditions ((6)+(9)) are enforced and (b) when only (6) is applied with a damping ratio  $\alpha_s = 100$ ; tolerance is set to  $\varepsilon_{decay} = 10^{-10}$  [ $Re(k_s)a = 18$ ]

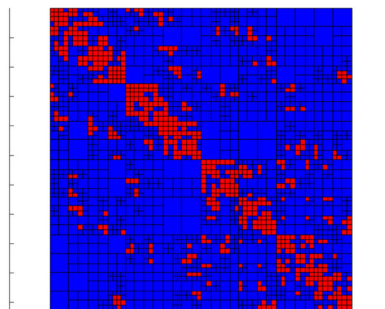
We illustrate in Fig. 11 three different tolerances  $\varepsilon_{decay}$  for  $\alpha_s = 15$  to show that the difference in the storage is not very significant. In Table 3 we gather the data compression rates for different tolerances  $\varepsilon_{decay}$  and damping ratios  $\alpha_s$ . Note that in

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(a)  $\varepsilon_{decay} = 10^{-3} - \tau = 0.103$

(b)  $\varepsilon_{decay} 10^{-5} - \tau = 0.118$



(c)  $\varepsilon_{decay} = 10^{-10} - \tau = 0.147$

**Fig. 11** Illustration with colored patches of the type of blocks represented when conditions ((6)+(9)) are applied for a damping ratio  $\alpha_s = 15$  and different tolerances  $\varepsilon_{decay}$  [ $Re(k_s)a = 18$ ]

**Table 3** Evolution of the data compression rate  $\tau$  (expressed in thousandths for more readability) with respect to the damping ratio and to the tolerance in condition (7) [ $Re(k_s)a = 18$ ]

Damping ratio $\alpha_s$	$\tau$ with (6) only	$\tau$ with (6) + (9) $\varepsilon_{decay} = 10^{-3}$	$\tau$ with (6) + (9) $\varepsilon_{decay} = 10^{-5}$	$\tau$ with (6) + (9) $\varepsilon_{decay} = 10^{-10}$
0	317	317	317	317
0.01	317	317	317	317
0.1	315	315	315	315
1	292	292	292	292
10	202	202	202	202
15	202	103	118	147
100	202	99.9	101	104
1000	202	95.4	96.5	97.5
10000	202	94.9	94.9	94.9

448 all the following tables (Tables 3, 4, 5, 6, 7, and 8), compression rates are given in  
 449 thousandths for more readability. In practice, the tolerance has a very moderate influ-  
 450 ence on the data compression rate in this exhaustive comparison. Moreover, the best  
 451 result is almost always achieved with its smallest value. Based on these observations,  
 452 we set  $\varepsilon_{decay} = 10^{-10}$  in the following.

453 **4.3 Influence of the condition (10c)**

454 Now that all the practical choices have been made for the parameters, numerical  
 455 experiments are conducted to compare both admissibility conditions ((6)+(9)) and  
 456 their more involved “counterpart” ((10b)+(10c)). The present objective is to investi-  
 457 gate whether the additional admissibility condition (9) leads to better, or worse, data  
 458 compression, compared to the conditions ((10b)+(10c)) and (14)<sub>k=k<sub>s</sub></sub>.

**Table 4** Evolution of the data compression rate  $\tau$  (expressed in thousandths for more readability) with respect to the damping ratio and parameter  $\eta_2$  [ $Re(k_s)a = 18$ ]

Damping ratio $\alpha_s$	$\tau$ with (6) only	$\tau$ with (6) + (9) $\varepsilon_{decay} = 10^{-10}$	$\tau$ with (10b) + (10c) + (14) $\eta_2=2$	$\tau$ with (10b) + (10c) + (14) $\eta_2=3$
0	317	317	1000	1000
0.01	317	317	1000	1000
0.1	315	315	1000	1000
1	292	292	799	799
10	202	202	278	254
15	202	147	278	222
100	202	104	278	209
1000	202	97.5	278	209
10000	202	94.9	278	209

**Table 5** Evolution of the compression rate  $\tau$  (expressed in thousandths for more readability) with respect to the damping ratio for different admissibility conditions [ $Re(k_s)\alpha = \{18, 18 \times 5\}$  with 10 points per  $\lambda_s$ ,  $N_{leaf} = 100$ ]

Damping ratio $\alpha_s$	$\tau: Re(k_s)$ only	$\tau: Re(k_s) = 18$ $(6) + (9) \varepsilon_{decay}$ $10^{-10}$	$\tau: Re(k_s) = 18$ $(10b) + (10c) + (14) \eta_2=2$	$\tau: Re(k_s) = 18$ $(10b) + (10c) + (14) \eta_2=3$	$\tau: Re(k_s) = 90$ $(6) + (9) \varepsilon_{decay}$ $10^{-10}$	$\tau: Re(k_s) = 90$ $(10b) + (10c) + (14) \eta_2=2$	$\tau: Re(k_s) = 90$ $(10b) + (10c) + (14) \eta_2=3$
0	317	317	1000	1000	33.2	404	250
0.03	317	317	1000	1000	32.5	310	160
0.04	317	317	1000	1000	32.0	291	160
0.05	317	317	1000	1000	31.4	267	160
0.06	316	316	1000	1000	30.7	235	157

**Table 6** Number of complex frequencies lying in some damping ratio intervals for BDF2 and BDF3 integration contours

Intervals of $\alpha_s$	Number of complex frequencies for BDF2 integration contour Fig. 15a	Number of complex frequencies for BDF3 integration contour Fig. 15b
[0,1]	6850	6580
[1,10]	8080	10702
[10,30]	1830	1826
[30,60]	898	448
[60,100]	646	178
[100,600]	1696	222
[600,17000]	0	44

459 The parameters used in this section are gathered in Table 1. We use  $\eta_2 = 2$  as  
 460 it is the optimal choice proposed in [10] and  $\eta = 3$  as it is the optimal choice of  $\eta$   
 461 resulting from the analysis detailed in [14].

462 First we consider the conditions ((6)+(9)). Figure 12 illustrates the evolution of the  
 463 data-sparse approximation (and gives the corresponding data compression rate  $\tau$ ) for  
 464 different values of the damping ratio  $\alpha_s$ . We observe as expected that the compression  
 465 improves with the increase of the damping ratio. This is explained by the decrease of  
 466 the value of  $r_{lim}$ , cf. condition (7) (see Fig. 9).

467 Now we consider the same configuration but use the more involved criterion  
 468 ((10b)+(10c)+(14)). Figure 13 shows the results for  $\eta_2 = 2$ , while Fig. 14 shows the  
 469 results for  $\eta_2 = 3$ . This latter case (see (10b)) is similar to the application of the clas-  
 470 sical admissibility condition (6). It allows us to see more precisely the influence of  
 471 (10c)+(14). By comparing Figs. 10b and 14b, it seems that  $\eta_2 = 3$  enables to have  
 472 similar results between (6) and ((10b)+(10c)+(14)). To confirm these results, Table 4  
 473 gives the data compression rates for various admissibility conditions and damping  
 474 ratio  $\alpha_s$ . It appears that the condition ((10b)+(10c)+(14)) does not give results better  
 475 than the ones obtained with (6).

476 To conclude, and based on all the previous numerical studies, it appears that  
 477 the use of (9) in addition to the classical condition (6) enables important storage  
 478 reductions compared to the other approaches. These encouraging results on the effi-  
 479 ciency of standard  $\mathcal{H}$ -matrices can be easily explained. Comparing the involved  $\mathcal{H}^2$   
 480 ((10b)+(10c)+(14)) condition with the improved, yet simple  $\mathcal{H}$  ((6)+(9)) condition,  
 481 we emphasize two important aspects:

- 482 – The set of admissible blocks in the  $\mathcal{H}^2$  case is, by definition, a subset of the
- 483 set of admissible blocks in the  $\mathcal{H}$  case. This restriction is based on an a priori
- 484 study, specifically designed to exclude blocks which are not low-rank. However,
- 485 it appears in the case with a complex wavenumber that the standard  $\mathcal{H}$  condition
- 486 is already efficient and does not need to be restricted. Otherwise it leads to a less
- 487 efficient approach.

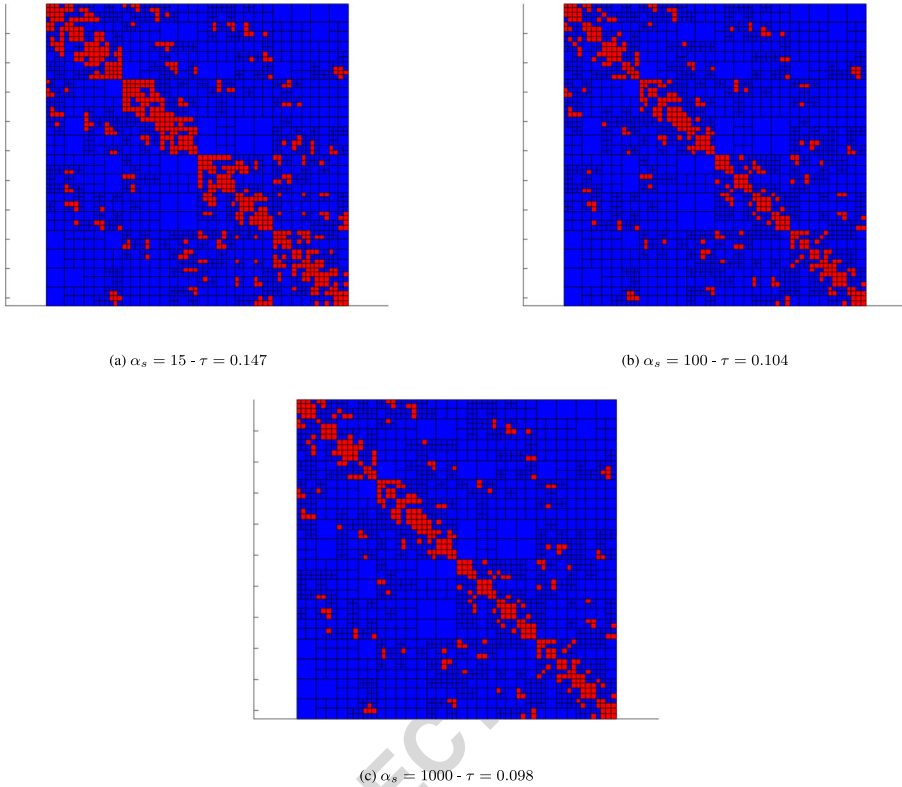
**Table 7** Evolution of the compression rate  $\tau$  (expressed in thousands for more readability) with respect to reachable damping ratios in BDF2 integration's contour Fig. 15a for different admissibility conditions [ $Re(k_s) a = \{18, 90\}$ , with 10 points per  $\lambda_s$ ,  $N_{leaf} = 100$ ]

Damping ratio $\alpha_s$	$\tau$ with $Re(k_s)$ only		$\tau$ with $Re(k_s)$ and $\epsilon_{decay} = 10^{-10}$		$\tau$ with $Re(k_s)$ and $\epsilon_{decay} = 10^{-10}$		$\tau$ with $Re(k_s)$ and $\epsilon_{decay} = 10^{-10}$	
	18 (6)	90 (9)	18 (10b) + (10c)	90 (6) only	18 (10b) + (10c)	90 (6) + (9)	90 (10b) + (10c)	90 (10b) + (10c)
	$\eta_2=2$	$\eta_2=3$	$\eta_2=2$	$\eta_2=3$	$\eta_2=2$	$\eta_2=3$	$\eta_2=2$	$\eta_2=3$
0	317	317	345	33.2	345	33.2	404	250
5	205	205	254	6.57	254	6.57	11.0	11.0
10	202	202	209	6.17	209	6.17	8.67	7.87
20	202	141	209	6.16	209	4.73	8.67	6.44
30	202	130	209	6.16	209	4.44	8.67	6.44
40	202	119	209	6.16	209	4.22	8.67	6.44
50	202	117	209	6.16	209	4.07	8.67	6.44
100	202	104	209	6.16	209	3.54	8.67	6.44
130	202	103	209	6.16	209	3.42	8.67	6.44
160	202	103	209	6.16	209	3.33	8.67	6.44
200	202	102	209	6.16	209	3.25	8.67	6.44
215	202	102	209	6.16	209	3.22	8.67	6.44

**Table 8** Evolution of the compression rate  $\tau$  (expressed in thousandths for more readability) with respect to reachable damping ratios in BDF3 integration's contour Fig. 15b for different admissibility conditions  $[Re(k_s), a = \{18, 90\}$  with 10 points per  $\lambda_s$ ,  $N_{ref} = 1000$ )

Damping ratio $\alpha_s$	$\tau$ with $Re(k_s) = 18$ (6) only		$\tau$ with $Re(k_s) = 18$ (10b) + (10c) $\eta_2=2$		$\tau$ with $Re(k_s) = 18$ (10b) + (10c) $\eta_2=3$		$\tau$ with $Re(k_s) = 90$ (6) only $\epsilon_{decay} = 10^{-10}$		$\tau$ with $Re(k_s) = 90$ (6) + (9) $\eta_2=2$		$\tau$ with $Re(k_s) = 90$ (10b) + (10c) $\eta_2=3$	
	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$	$\epsilon_{decay} = 10^{-10}$
0	317	317	345	345	345	33.2	33.2	33.2	404	250	250	250
5	205	205	278	254	254	6.57	6.57	6.57	11.0	11.0	11.0	11.0
10	202	202	278	209	209	6.17	6.17	6.17	8.67	7.87	7.87	7.87
20	202	141	278	209	209	6.16	4.73	4.73	8.67	6.44	6.44	6.44
30	202	130	278	209	209	6.16	4.44	4.44	8.67	6.44	6.44	6.44
40	202	119	278	209	209	6.16	4.22	4.22	8.67	6.44	6.44	6.44
50	202	117	278	209	209	6.16	4.07	4.07	8.67	6.44	6.44	6.44
100	202	104	278	209	209	6.16	3.54	3.54	8.67	6.44	6.44	6.44
130	202	103	278	209	209	6.16	3.42	3.42	8.67	6.44	6.44	6.44
160	202	103	278	209	209	6.16	3.33	3.33	8.67	6.44	6.44	6.44
200	202	102	278	209	209	6.16	3.25	3.25	8.67	6.44	6.44	6.44
500	202	99.5	278	209	209	6.16	3.06	3.06	8.67	6.44	6.44	6.44
1000	202	97.5	278	209	209	6.16	2.92	2.92	8.67	6.44	6.44	6.44
10000	202	94.9	278	209	209	6.16	2.78	2.78	8.67	6.44	6.44	6.44
16240	202	94.9	278	209	209	6.16	2.78	2.78	8.67	6.44	6.44	6.44





**Fig. 12** Illustration with colored patches of the type of blocks represented when conditions (6+9) are applied for  $\varepsilon_{decay} = 10^{-10}$ , with different damping ratios  $\alpha_s$  [ $Re(k_s)a = 18$ ]

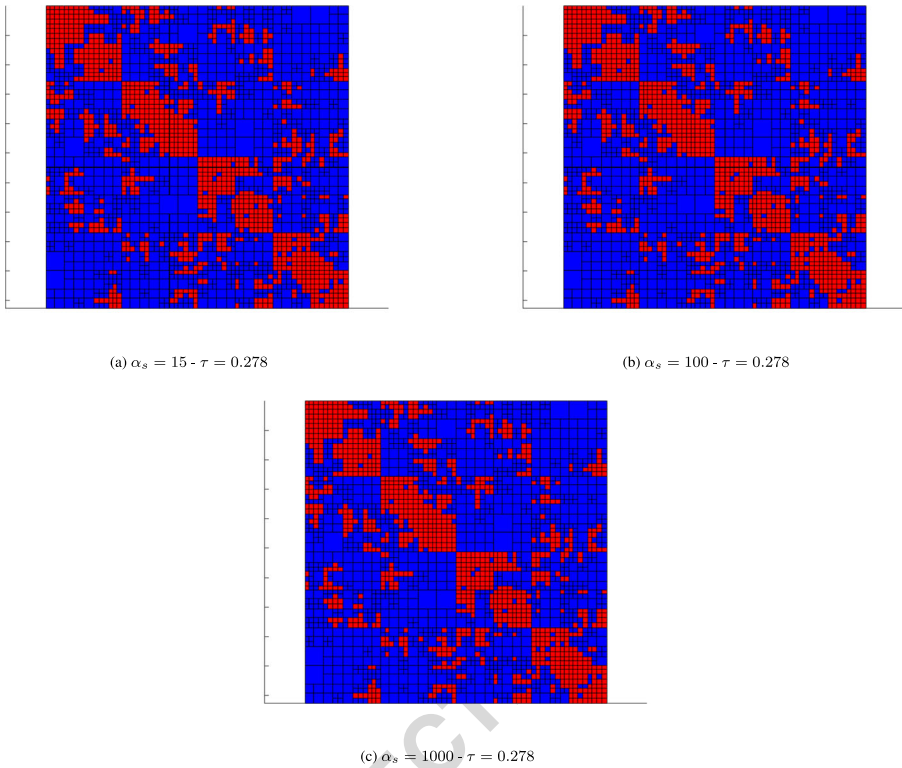
- The proposed improved  $\mathcal{H}$  condition further reduces the storage requirements by approximating by blocks full of zero not only the admissible blocks but also a portion of non-admissible blocks (in the traditional sense of admissibility).

Due to its high efficiency and ease of implementation we advocate the use of standard “improved”  $\mathcal{H}$ -matrices for problems with an oscillatory kernel and a complex wavenumber. In the next section, we illustrate the practical efficiency of the proposed approach for two possible configurations: visco-elastodynamic problems in the frequency domain and purely elastic problems in the time domain.

**5 Application in two configurations: visco-elastodynamic BEMs and Z-BEMs**

**5.1 Efficiency in the context of visco-elastodynamic BEMs**

We consider first the case with a physical attenuation. We can write the constitutive equation for visco-elasticity as the convolution product of the relaxation tensor and



**Fig. 13** Illustration with colored patches of the type of blocks represented when conditions (10b) and (10c) are applied with  $\eta_2 = 2$  for different damping ratios  $\alpha_s$  [ $Re(k_s)a = 18$ ]

501 the strain rate:

$$\boldsymbol{\sigma}(\mathbf{x}, t) = \mathbf{C}(t) * \dot{\boldsymbol{\varepsilon}}(\mathbf{x}, t) = \dot{\mathbf{C}}(t) * \boldsymbol{\varepsilon}(\mathbf{x}, t) \tag{16}$$

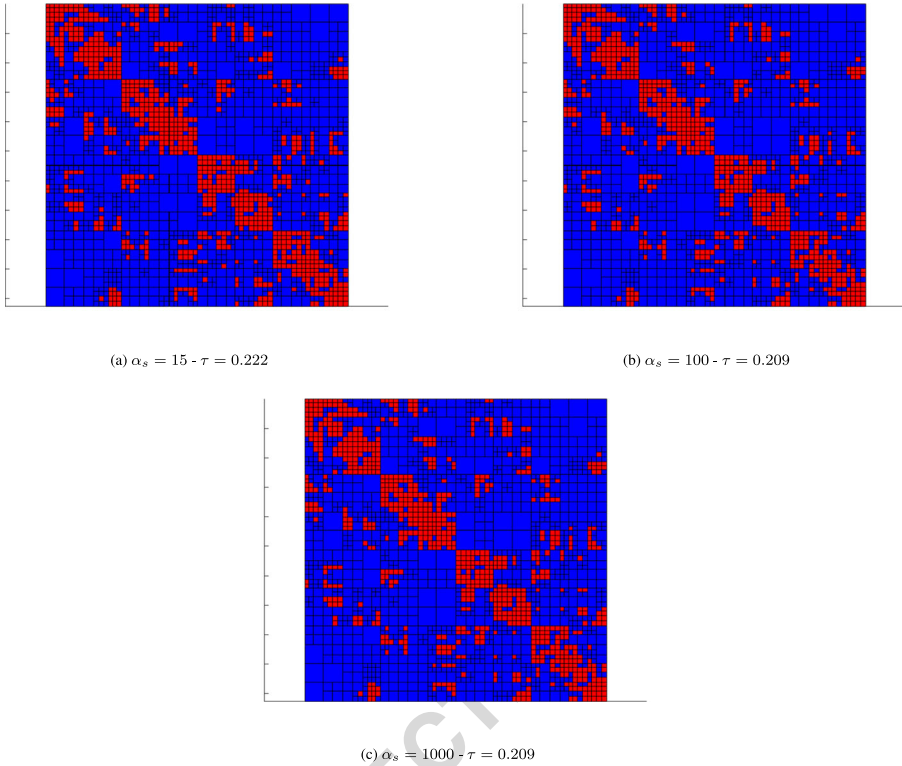
502 For a homogeneous isotropic visco-elastic medium, the relaxation tensor  $C_{ijkl}(t)$  is  
 503 written in terms of two independent Lamé-type coefficients:

$$C_{ijkl}(t) = [\lambda(t)\delta_{ij}\delta_{kl} + \mu(t)(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk})] H(t) \tag{17}$$

504 where  $H(\cdot)$  is a Heaviside step function. The rewriting of the visco-elastic constitu-  
 505 tive law in the frequency domain, see [18] for more details, leads to:

$$\sigma_{ij}(\mathbf{x}, \omega) = \hat{C}_{ijkl}(\omega)\varepsilon_{kl}(\mathbf{x}, \omega), \quad \hat{C}_{ijkl}(\omega) = \hat{\lambda}(\omega)\delta_{ij}\delta_{kl} + \hat{\mu}(\omega)(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}) \tag{18}$$

506 where  $\hat{\lambda}(\omega)$  and  $\hat{\mu}(\omega)$  are respectively the Fourier transforms of  $\lambda(t)H(t)$  and  
 507  $\mu(t)H(t)$ . This highlights the analogy between the linear visco-elastic and the linear  
 508 elastic time-harmonic configurations. Equality (18) shows that the main difference  
 509 between the two situations is that the Lamé coefficients and consequently wave  
 510 velocities and wavenumbers are complex-valued and frequency-dependent in the



**Fig. 14** Illustration with colored patches of the type of blocks represented when conditions (10b) and (10c) are applied with  $\eta_2 = 3$  for different damping ratios [ $Re(k_s)a = 18$ ]

visco-elastic case. Following [19], we consider complex wavenumbers of the form: 511

$$\hat{k}^2(\omega) = \frac{\rho\omega^2}{\hat{M}} \tag{19}$$

where  $\hat{M}(\omega) = M_r(\omega) - iM_i(\omega)$ , with  $M_r > 0$  and  $M_i \geq 0$ , corresponds either to  $\hat{M} = \hat{\lambda}$  or  $\hat{\mu}$ . These complex wavenumbers can be written as: 512  
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$$\begin{aligned} \hat{k}(\omega) &= \omega \sqrt{\frac{\rho(|\hat{M}| + M_r)}{2|\hat{M}|^2}} + i\omega \sqrt{\frac{\rho(|\hat{M}| - M_r)}{2|\hat{M}|^2}} \\ &= \omega \sqrt{\frac{\rho(|\hat{M}| + M_r)}{2|\hat{M}|^2}} \left( 1 + i \sqrt{\frac{|\hat{M}| - M_r}{|\hat{M}| + M_r}} \right) = Re(\hat{k}(\omega))(1 + i\alpha(\omega)) \end{aligned}$$

$\alpha(\omega)$  corresponds to the ratio of the imaginary part over the real part of the complex wavenumber for physical configuration in case of real soils. We denote  $Q^{-1}$  the damping coefficient associated with the physical material attenuation given by 514  
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$$Q^{-1} = \frac{M_i(\omega)}{M_r(\omega)} \tag{20}$$

517 It can be defined through empirical models, e.g., rheological models (Maxwell,  
 518 Kelvin-Voigt, Zener) which are able to give the frequency-depend expression of the  
 519 equivalent visco-elastic modulus (see [36] for more details on rheological models).  
 520 Rewriting the expression of the attenuation factor  $\alpha(\omega)$  as a function of  $Q^{-1}$  we  
 521 obtain:

$$\alpha(\omega) = \sqrt{\frac{(\sqrt{1 + (Q^{-1})^2} - 1)}{(\sqrt{1 + (Q^{-1})^2} + 1)}} \tag{21}$$

522 Damping in real soils follows the weak-dissipation assumption such as we could  
 523 consider  $Q^{-1} \ll 1$ . Thus, truncating the Taylor of  $\alpha$  at the first order yields to the  
 524 approximation under the weak-dissipation configuration:

$$\alpha \simeq \frac{1}{2} Q^{-1} \tag{22}$$

525 Since  $\hat{M}$  in (19) can be equal to  $\hat{\lambda}$  or  $\hat{\mu}$ , and given (20) it follows that under the  
 526 weak-dissipation assumption

$$\hat{\mu} = Re(\hat{\mu})(1 - 2i\alpha_\mu) \text{ and } \hat{k}_s = \omega \sqrt{\frac{\rho}{Re(\hat{\mu})}} (1 + i\alpha_\mu) \tag{23}$$

527 For the sake of simplicity in this work, the material damping ratios are assumed to be  
 528 the same, i.e.,  $\alpha_\mu = \alpha_\lambda$ . The complex P-wavenumber  $\hat{k}_p$  and the complex Poisson's  
 529 ratio  $\hat{\nu}$  are given by (note that the Poisson's ration thus reduce to the real Poisson's  
 530 ratio):

$$\hat{k}_p = \hat{k}_s \sqrt{\frac{Re(\hat{\mu})}{Re(\hat{\lambda}) + 2Re(\hat{\mu})}}, \quad \nu = Re(\hat{\nu}) = \frac{Re(\hat{\lambda})}{2(Re(\hat{\lambda}) + Re(\hat{\mu}))} \tag{24}$$

531 It follows that  $\alpha_\mu = \alpha_\lambda = \alpha_s$ . In practice, to consider weak dissipation, values of  
 532 attenuation should be contained in the range  $0 \leq Q^{-1}(\omega) \leq 0.2$ , which implies  $0 \leq$   
 533  $\alpha(\omega) \leq 0.1$ . Damping in real soils follows in fact the weak-dissipation assumption,  
 534 with typical values in the range  $\alpha_s \in [0.03, 0.06]$ . Table 5 gives the compression rates  
 535  $\tau$  (expressed in thousandths for more readability) for various admissibility conditions  
 536 and realistic damping ratios  $\alpha_s$ . Importantly, after having determined the important  
 537 parameters for the low frequency case in the previous sections, we can consider a  
 538 higher frequency ( $Re(k_s) = 90$ ) configuration for this physically sound example.

539 Table 5 shows that as expected, the compression rate increases with the frequency  
 540 and with the damping ratio. But the new admissibility condition does not improve  
 541 the efficiency of the method in this range. We understand at this point that visco-  
 542 elastodynamic problems are not the most interesting domain of application of the  
 543 proposed new criterion due to the relatively small damping ratios achieved in real  
 544 life problems. Hopefully, this approach can be very efficient for purely elastic time-  
 545 domain problems.

**5.2 Efficiency of the approach in the context of the convolution quadrature method for 3D time-domain elastodynamics**

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Another interesting configuration in which purely elastodynamic problems are considered with a complex wave number is when a CQM-based approach is used to reformulate the time-domain BIE in terms of BIEs in the (complex) frequency domain. The approach can conveniently be presented by focusing on the evaluation of the single-layer integral operator  $G\{f\}$  for a given causal density  $f$  (see [29] for more details in the context of Helmholtz problems). It is based on a numerical approximation of convolution integrals such as:

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$$f * g(x) = \int_0^x f(x-t)g(t)dt, \quad x \geq 0$$

by quadrature rules. This method has been introduced in [27] where the theoretical procedure to obtain an approximation of a convolution product at discrete times (with constant time step) is obtained and then extended in [26] with variable time steps. [28] gives the numerical evaluation of the quadrature weights. Using the CQM, the influence of the damping in case of visco- or poroelasticity can be taken into account (see [34, 35]). The Z-BEM method refers to the whole solving procedure used here. The use of Z-transform in the context of the CQM eases the approximation of convolution products appearing in the initial time-domain problem. The inverse Z-transform is used to express the discrete time-domain solution obtained once the BIE is solved. This procedure is detailed in an acoustic configuration in [29].

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We consider a classical elastodynamic problem in the time-domain. For a given force distribution  $\mathbf{F}(\mathbf{y}, t)$  over the geometry studied, an elastodynamic state is any triplet  $(\mathbf{u}, \boldsymbol{\sigma}, \mathbf{F})$  satisfying the linear elastic constitutive equation and the fundamental equation of motion

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$$\begin{cases} \boldsymbol{\sigma} = \lambda(\operatorname{div} \mathbf{u})\mathbf{1} + \mu(\nabla \mathbf{u} + \nabla^T \mathbf{u}) \\ \operatorname{div} \boldsymbol{\sigma} + \rho(\mathbf{F} - \ddot{\mathbf{u}}) = 0 \\ \mathbf{u}(\mathbf{y}, 0) = \mathbf{u}_0(\mathbf{y}) \quad \dot{\mathbf{u}}(\mathbf{y}, 0) = \mathbf{v}_0(\mathbf{y}) \\ + \text{prescribed boundary conditions} \end{cases} \quad \forall (\mathbf{y}, t) \in \Omega \times [0, T] \quad (25)$$

From (25), we deduce the integral representation for an elastodynamic state [5]

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$$\begin{aligned} u_k(\mathbf{x}, t) + \int_{\partial\Omega} \{T_i^k(\mathbf{x}, t, \mathbf{y}) * u_i(\mathbf{y}, t) - U_i^k(\mathbf{x}, t, \mathbf{y}) * t_i(\mathbf{y}, t)\} dS_y = \int_{\Omega} \rho U_i^k(\mathbf{x}, t, \mathbf{y}) * F_i(\mathbf{y}, t) dV_y \\ + \int_{\Omega} \rho \{v_{0i}(\mathbf{y}) U_i^k(\mathbf{x}, t, \mathbf{y}) + u_{0i}(\mathbf{y}) \dot{U}_i^k(\mathbf{x}, t, \mathbf{y})\} dV_y \end{aligned} \quad (26)$$

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where  $T_i^k = \Sigma_{ij}^k n_j$  are the components of the traction vector associated with the fundamental solution. By applying a limiting process [5], we obtain the following regularized displacement integral equation [5]

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$$\int_{\partial\Omega} \left[ T_i^k(\mathbf{x}, t, \mathbf{y}) * u_i(\mathbf{y}, t) - u_i(\mathbf{x}, t) T_i^k(\mathbf{x}, \mathbf{y}) \right] dS_y - \int_{\partial\Omega} U_i^k(\mathbf{x}, t, \mathbf{y}) * t_i(\mathbf{y}, t) dS_y = 0 \quad (27)$$

575 To present the  $\mathcal{Z}$ -BEM approach [29] to solve (27) in the time domain we focus on  
 576 the evaluation of the single-layer integral operator:

$$q(t) = \int_{\partial\Omega} U_i^k(\mathbf{x}, t, \mathbf{y}) * t_i(\mathbf{y}, t) dS_y = \int_{\partial\Omega} \int_0^t U_i^k(\mathbf{x}, t - \tau, \mathbf{y}) * t_i(\mathbf{y}, \tau) d\tau dS_y$$

577 The starting point is to note that  $U_i^k(\mathbf{x}, t - \tau, \mathbf{y})$  may be expressed in terms of its  
 578 Laplace transform  $\bar{U}_i^k$  (assuming it is well-defined), so that for  $\mathbf{x}$  and  $\mathbf{y}$  fixed:

$$\begin{aligned} q(t) &= \int_0^t \left( \frac{1}{2\pi i} \int_{\gamma-i\infty}^{\gamma+i\infty} \bar{U}_i^k(s) e^{s(t-\tau)} ds \right) t_i(\tau) d\tau \\ &= \frac{1}{2\pi i} \int_{\gamma-i\infty}^{\gamma+i\infty} \bar{U}_i^k(s) h(t; s) ds, \text{ with } h(t; s) := \int_0^t e^{s(t-\tau)} t_i(\tau) d\tau. \end{aligned} \quad (28)$$

579 Considering a sequence of discrete time instants  $t_n = n\Delta t, n \in \mathbb{N}$ , where  $\Delta t$  is  
 580 the constant time step, the CQM is developed as a means to evaluate the sequence  
 581  $(q_n)_{n \geq 0}$  of convolution values  $q_n := q(t_n)$ , over a finite discrete time interval  
 582  $\{0, \Delta t, 2\Delta t, \dots, T = M\Delta t\}$ , given the sequence  $(t_n) := (t_i(t_n))_{n \geq 0}$  and the  
 583 fundamental solution  $U_i^k$ . The key point of the CQM consists then in remarking that  
 584 the function  $t \rightarrow h(t; s)$  introduced in (28) satisfies the initial-value problem:

$$\begin{cases} \frac{dh}{dt}(t; s) = sh(t; s) + t_i(t) \\ h(t \leq 0; s) = 0 \end{cases} \quad (29)$$

585 We can numerically solve the ordinary differential equation (29) for the time-discrete  
 586 approximation:  $h_n(s) := h(t_n, s)$  of  $h(t; s)$  (with fixed  $s$ ) by applying for example a  
 587 linear multistep method to (29) such that

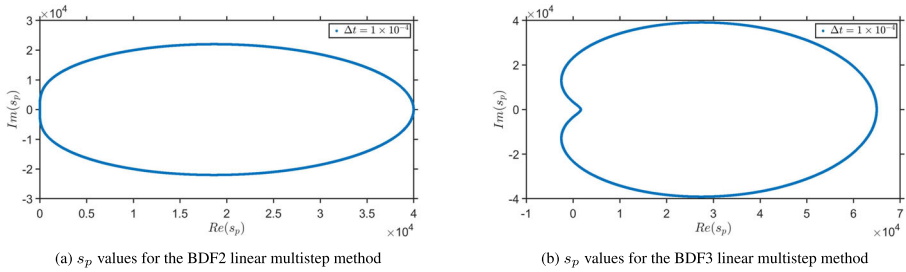
$$\begin{cases} \frac{dh_n(s)}{dt} \simeq \frac{1}{\Delta t} \sum_{j=0}^k \alpha_j h_{n+j-k}(s) = \sum_{j=0}^k \beta_j (sh_{n+j-k}(s) + t_{i_{n+j-k}}), \quad \forall n \in \mathbb{N}, \\ h_{-p} = t_{i_{-p}} = 0 \quad \forall p \in [1, k] \end{cases} \quad (30)$$

588 where the coefficients  $\alpha_j$  and  $\beta_j$  are the constants of the multistep method (for  
 589 instance,  $k = 2, \alpha_0 = 1, \alpha_1 = -4, \alpha_2 = 3, \beta_0 = \beta_1 = 0, \beta_2 = 2$  for the Backward  
 590 Differentiation Formula of order 2 (BDF2) method). The  $\mathcal{Z}$ -transform  $\mathcal{Z}[(x_n)](\xi)$  of  
 591 a discrete time signal  $(x_n)$  is given for  $|\xi| \leq \varrho$  (with  $\varrho$  the radius of convergence of  
 592 the series) by

$$\mathcal{Z} : (x_n) = \{x_0, x_1, \dots\} \rightarrow \mathcal{Z}[(x_n)](\xi) = \sum_{n=0}^{\infty} x_n \xi^n \equiv \mathbf{X}(\xi), \quad \xi \in \mathbb{C} \quad (31)$$

593 Multiplying by  $\Delta t \xi^n$ , taking the  $\mathcal{Z}$ -transform of (30) and taking the sum over  $n$ , we  
 594 obtain after some manipulations

$$\sum_{j=0}^k \alpha_j \xi^{k-j} \mathbf{H}(\xi; s) = \Delta t \sum_{j=0}^k \beta_j \xi^{k-j} (s \mathbf{H}(\xi; s) + \mathbf{T}_i(\xi)) \quad (32)$$



**Fig. 15** Complex frequencies  $s_p$  for which BEM problems have to be solved in the  $\mathcal{Z}$ -BEM for two different linear multistep methods and a time step  $\Delta t = 10^{-4}$

such that

$$\mathbf{H}(\xi, s) = \frac{1}{\frac{p(\xi)}{\Delta t} - s} \mathbf{T}_i(\xi) \quad \text{with} \quad p(\xi) = \frac{\sum_{j=0}^k \alpha_j \xi^{k-j}}{\sum_{j=0}^k \beta_j \xi^{k-j}} \quad (33)$$

$p$  is characteristic of the multistep method chosen:  $p(\xi) = (3 - 4\xi + \xi^2)/2$  for the BDF2 method and  $p(\xi) = (11 - 18\xi + 9\xi^2 - \xi^3)/6$  for the BDF3 method. With the help of the Cauchy's residue theorem, we obtain an approximation of the  $\mathcal{Z}$ -transform of the convolution product

$$\mathbf{Q}(\xi) = \bar{\mathbf{U}}_i^k \left( \frac{p(\xi)}{\Delta t} \right) \mathbf{T}_i(\xi). \quad (34)$$

We can then extend this result to the whole BIE (27). It involves the numerical resolution of distinct BIEs in the complex frequency domain given by the discrete values of  $s$ :  $s_p = p(\xi_p)/\Delta t$  with  $\xi_p = \rho e^{2i\pi p/L}$ ,  $L$  complex numbers taken on the circle of radius  $\rho$  in the complex space. Once the solution  $\mathbf{U}_i(\cdot, \xi)$  is obtained, the time discrete physical unknowns  $u_i(\cdot, t_n)$  are obtained by taking the inverse  $\mathcal{Z}$ -transform of  $\mathbf{U}_i(\cdot, \xi)$  given by:

$$u_i(\cdot, t_n) = \frac{1}{2i\pi} \int_C \mathbf{U}_i(\cdot, \xi) \xi^{-k-1} d\xi \simeq \frac{1}{L} \sum_{p=0}^{L-1} \mathbf{U}_i(\cdot, \xi_p) \xi_p^{-k}, \quad \forall k \in [0, M] \quad (35)$$

with  $M$  the total number of time steps. Figure 15 illustrates the complex frequencies  $s_p$  at which the solution of the BIE is required for  $\Delta t = 10^{-4}$  and for the BDF2 (Fig. 15a) and BDF3 (Fig. 15b) linear multistep methods in (30). Figure 15 illustrates that the  $\mathcal{Z}$ -BEM implies the solutions of BEM problems with complex wavenumbers for which the decay ratio  $\alpha_s = Im(k_s)/Re(k_s)$  is taken in a large range.

Precisely, for our examples with  $\Delta t = 10^{-4}$ , we obtain  $\min \alpha_s = 0$ ,  $\max \alpha_s = 215$  for BDF2 and  $\max \alpha_s = 16240$  for BDF3. Due to the definition of  $s_p$ , these numbers will increase if  $\Delta t$  is decreased. For each integration contour in Fig. 15, Table 6 gives the number of complex frequencies lying inside some damping ratio intervals. It illustrates the repartition of the interesting damping ratios for this application. Finally, Tables 7 and 8 give the compression rates  $\tau$  (expressed in thousandths for more readability) for various admissibility conditions and the damping ratios  $\alpha_s$  observed in Fig. 15a and b respectively, with the help of Table 6. Our point here is to illustrate

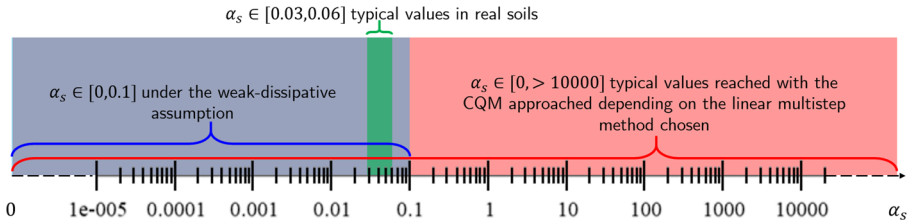


Fig. 16 Values taken by the damping ratio  $\alpha_s$  in case of the  $\mathcal{Z}$ -BEM approach for time-domain elastodynamics and for visco-elastodynamic problems

619 the effect of the damping ratio on the compression rate on some situations that can be  
 620 achieved in the context of the CQM. In particular it might seem unrealistic to consider  
 621 a damping ratio of 10 000; however, Fig. 15a and b demonstrate that it truly occurs.  
 622 The damping ratio is not the only parameter to take into account, the frequency also  
 623 has an important impact. To give a good overview of the different situations and  
 624 understand the general trends, we show the compression rates for a large sampling of  
 625 damping ratios reached in practice and for two frequencies representative of low and  
 626 mid-frequencies. We first note that the standard admissibility condition (6) produces  
 627 similar results than (10b) + (10c). And for these conditions, when the damping ratio  
 628 increases, we observe that the obtained compression reaches a plateau and then stag-  
 629 nates. Thus, the introduction of more damping does not change the compression rate.  
 630 On the other hand, the proposed approach with (6) + (9) enables an increase of the  
 631 gain as the damping ratio increases. This means that the additional compression is  
 632 obtained by approximating to zero the non-admissible blocks which verify the condi-  
 633 tion (9). For the largest damping ratios, we notice that the proposed approach enables  
 634 to divide the storage requirements by a factor up to three. These largest gains are  
 635 obtained for the largest damping ratios and are conserved as the frequency increases.  
 636 These results confirm the interest of the proposed approach in the context of  $\mathcal{Z}$ -BEM.

637 Previous results in terms of accuracy were limited to low frequency cases. To  
 638 finish, in Table 9, we report the error introduced by the new admissibility crite-  
 639 rion,  $err_{rel}$  defined in (15), for four values of damping ratios  $\alpha_s$  for the cases  
 640  $Re(k_s)a = 18$  and  $Re(k_s)a = 90$ . Importantly, we note that the accuracy is rather  
 641 stable when the frequency is increased. By extrapolation, one expects to achieve a  
 642 good accuracy for all possible values of  $\alpha_s$  from Table 6. We also report the number  
 643 of blocks approximated by blocks full of zeros. For the case  $Re(k_s)a = 18$ , we have  
 644 a total of 2 242 blocks, 828 of them being non-admissible with the standard admissi-  
 645 bility condition. For the case  $Re(k_s)a = 90$ , we have a total of 69 001 blocks, 22 301  
 646 of them being non-admissible with the standard admissibility condition. For these  
 647 two frequencies, between one quarter and one half of these non-admissible blocks  
 648 are approximated with the use of the additional admissibility condition.

649 To sum up, in the context of the  $\mathcal{Z}$ -BEM, the new admissibility criteria enables  
 650 important memory and computational savings. From the study on the error introduced  
 651 by the new admissibility condition, it follows that depending on the accuracy required  
 652 in the numerical solution, the parameter  $\varepsilon_{decay}$  may be tuned. An interesting aspect of  
 653 the method that will be studied in details in a future work will be how each complex



**Table 9** Evolution of  $err_{rel}$  with respect to the damping ratio and  $Re(k_s)a$ , [ $\varepsilon_{decay} = 10^{-10}$ ]

Damping ratio	$err_{rel}$ $Re(k_s)a = 18$	Blocks (9) $Re(k_s)a = 18$	$err_{rel}$ $Re(k_s)a = 90$	Blocks (9) $Re(k_s)a = 90$
20	$1.48 \cdot 10^{-9}$	252	$8.83 \cdot 10^{-9}$	5024
30	$1.62 \cdot 10^{-7}$	312	$5.63 \cdot 10^{-8}$	6096
50	$6.16 \cdot 10^{-4}$	354	$2.29 \cdot 10^{-5}$	7452
66	$6.09 \cdot 10^{-4}$	390	$4.05 \cdot 10^{-4}$	8236
100	$3.41 \cdot 10^{-4}$	406	$8.15 \cdot 10^{-3}$	9430
500	$9.36 \cdot 10^{-14}$	426	$1.62 \cdot 10^{-5}$	11126
1000	$< 10^{-16}$	444	$5.32 \cdot 10^{-10}$	11662

frequency contributes to the final accuracy of the purely elastic problem. Thus, they do not contribute equally such that additional savings could be obtained in some ranges. 654  
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## 6 Conclusion 657

In this work, we have evolved the admissibility condition in the  $\mathcal{H}$ -BEM to simulate 3D elastodynamic problems with complex wavenumbers. This evolution, which consists in adding condition (9) to the classical condition (6), is a way to obtain improved data-sparse approximations of the discretized Green's tensor. It takes into account the influence of the imaginary part of the complex wavenumbers on the exponential decay induced in the Green's tensor. This exponential decay has a moderate influence on the data-sparse approximation of the matrix in the visco-elastodynamic regime. However it has a much stronger influence on the data-sparse approximation of the matrix in the context of the convolution quadrature method for BEMs 3D elastodynamics. The literature on this topic is so far quite limited. In [12] Börm, Lopez-Fernandez, and Sauter proposed a new admissibility condition to study highly oscillatory Helmholtz kernels with complex wave numbers in the context of directional  $\mathcal{H}^2$ -matrices. We have shown that our new admissibility condition is simpler to implement and allows one to achieve improved compression rates with a controlled loss of accuracy. We observe that we have used the sound theoretical background proposed in [12] to back up the efficiency of our approach. 658  
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It is the authors' belief that the present study could have a real impact on the efficiency of the  $\mathcal{Z}$ -BEM approach for 3D elastodynamics (and Helmholtz) in the time domain. Among others, we have shown that the gain in terms of memory requirements becomes significant when the damping ratio is above a *threshold value*. In such configurations, the storage requirement converges towards a *minimal* storage representative of non-admissible blocks only. Indeed, the non-admissible blocks have a fixed position in the matrix, and the discretized Green's tensor can be accurately approximated by these non-admissible blocks only, as the strong exponential decay 674  
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682 allows one to approximate all the admissible part of the matrix by matrices of zeros.  
 683 Figure 16 summarizes the range of values reached by the damping ratio  $\alpha_s$  when the  
 684  $\mathcal{Z}$ -BEM approach is used for purely elastic problems and for visco-elastodynamic  
 685 problems.

## 686 Declarations

687 **Conflict of interest** The authors declare no competing interests.

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